

# Spectral Synthesis and Ideal Theory

## Lecture 3

Eberhard Kaniuth

University of Paderborn, Germany

Fields Institute, Toronto, April 2, 2014

## The Restriction Map $A(G) \rightarrow A(H)$

### Theorem

Let  $H$  be a closed subgroup of  $G$ . For every  $u \in A(H)$ , there exists  $v \in A(G)$  such that

$$v|_H = u \quad \text{and} \quad \|v\|_{A(G)} = \|u\|_{A(H)}.$$

This important result was independently shown by McMullen and Herz:

C. Herz, *Harmonic synthesis for subgroups*, Ann. Inst. Fourier **23** (1973), 91-123.

J.R. McMullen, *Extension of positive definite functions*, Mem. Amer. Math. Soc. **117**, 1972.

### Remark

If  $H$  is open in  $G$ , then  $v$  can be defined to be zero on  $G \setminus H$ . In the general case, the proof is fairly technical. We give a brief indication for second countable groups.

Suppose that  $G$  is second countable. Then there exists a Borel subset  $S$  of  $G$  with the following properties:

- $S \cap H = \{e\}$
- $S$  intersects each right coset of  $H$  in exactly one point
- for each compact subset  $C$  of  $G$ ,  $HC \cap S$  is relatively compact
- there exists a closed neighbourhood  $V$  of  $e$  in  $G$  such that  $HV = V$  and  $V \cap S$  is relatively compact.

For  $x \in G$ , let  $\beta(x)$  denote the unique element of  $H$  such that  $x = \beta(x)s$  for some  $s \in S$ . For any function  $f$  on  $G$ , define  $f_V$  on  $G$  by

$$f_V(x) = f(\beta(x))1_V(x), \quad x \in G.$$

## Lemma

Let  $G, H, S, V, \dots$  be as above. There exists a constant  $c > 0$  such that  $f \rightarrow c f_V$  is a linear isometry of  $L^2(H)$  into  $L^2(G)$ . Moreover, for all  $f, g \in L^2(H)$  and  $h \in H$ ,

$$c^2 (f_V *_G \tilde{g}_V)(h) = (f *_H \tilde{g})(h).$$

## Remark

What is  $c$ ?

If  $f \in C_c(H)$ , then  $f_V$  is bounded and measurable and has compact support. Thus we can define a linear functional  $I$  on  $C_c(H)$  by

$$I(f) = \int_G f_V(x) dx.$$

Check that  $I$  is left invariant and if  $f \geq 0$  and  $f \neq 0$ , then  $I(f) > 0$ . Thus  $I$  is a left Haar integral on  $H$ . By uniqueness, there exists  $c > 0$  such that

$$c \int_G f_V(x) dx = \int_H f(h) dh.$$

## Amenable Groups

### Definition

A locally compact group  $G$  is called *amenable* if there exists a left invariant mean, i.e. a linear functional  $m$  on  $L^\infty(G)$  such that  $m(\bar{f}) = \overline{m(f)}$  for all  $f \in L^\infty(G)$ ,  $m(f) \geq 0$  if  $f \geq 0$  and  $m(1) = 1$ .

Amenability of  $G$  can also be characterized through the existence of left invariant means on various other function spaces on  $G$ .

### Examples

- (1) Compact groups and abelian locally compact groups
- (2) If  $N$  is a closed normal subgroup of  $G$  and  $N$  and  $G/N$  are both amenable, then  $G$  is amenable
- (3) Closed subgroup of amenable groups are amenable

## Further Examples

(4) If there exists an increasing sequence

$$\{e\} = H_0 \subseteq H_1 \subseteq \dots \subseteq H_r = G$$

of closed subgroups of  $G$  such that  $H_{j-1}$  is normal in  $H_j$  and every quotient group  $H_j/H_{j-1}$  is amenable,  $1 \leq j \leq r$ , then  $G$  is amenable

(5) Free groups and  $SL(n, \mathbb{Z})$  are not amenable

(6) Noncompact semisimple Lie groups is not amenable

(7) If  $G = \bigcup_{\alpha} H_{\alpha}$ , where  $(H_{\alpha})_{\alpha}$  is an upwards directed system of closed amenable subgroups of  $G$ , then  $G$  is amenable.

## Characterizations of Amenability

For a locally compact group  $G$  with left Haar measure, let  $\lambda_G$  denote the left regular representation, i.e. the representation on  $L^2(G)$  defined by

$$\lambda_G(x)f(y) = f(x^{-1}y), \quad f \in L^2(G), \quad x \in G.$$

The coordinate functions of  $\lambda_G$  are the functions of the form

$$u_{f,g}(x) = \langle \lambda_G(x)f, g \rangle, \quad f, g \in L^2(G).$$

### Theorem

*For a locally compact group  $G$ , the following are equivalent:*

- 1  $G$  is amenable
- 2  $1_G$  is weakly contained in  $\lambda_G$ : the function 1 can be approximated uniformly on compact subsets of  $G$  by functions  $u_{f,g}$
- 3 For every  $f \in L^1(G)$ ,  $f \geq 0$ ,  $\|\lambda_G(f)\| = \|f\|_1$ .

## Existence of a Bounded Approximate Identity in $A(G)$

### Theorem

For a locally compact  $G$ , the following three conditions are equivalent:

- 1  $G$  is amenable
- 2  $A(G)$  has an approximate identity  $(u_\alpha)_\alpha$  such that, for every  $\alpha$ ,  $\|u_\alpha\| \leq 1$  and  $u_\alpha$  is a positive definite function with compact support
- 3  $A(G)$  has a bounded approximate identity.

H. Leptin, *Sur l'algèbre de Fourier d'une groupe localement compact*, C.R. Math. Acad. Sci. Paris Ser. A **266** (1968), 1180-1182.

The proof outlined below is taken from an unpublished thesis of Nielson and appears in

J. de Canniere and U. Haagerup, *Multipliers of the Fourier algebra of some simple Lie groups and their discrete subgroups*, Amer. J. Math. **107** (1985), 455-500.



## Outline of Proof

Have to show (1)  $\implies$  (2) and (3)  $\implies$  (1)

(1)  $\implies$  (2): Amenability of  $G$  is equivalent to that  $1_G$  is weakly contained in  $\lambda_G \implies$  given  $K \subseteq G$  compact and  $\epsilon > 0$ , there exists  $u_{K,\epsilon} \in P(G)$  such that

- $|u_{K,\epsilon} - 1| \leq \epsilon$  for all  $x \in K$
- $u_{K,\epsilon}$  is a coordinate function of  $\lambda_G$ .

Since  $C_c(G)$  is dense in  $L^2(G)$ , we can assume that  $u_{K,\epsilon}$  has compact support. (2) follows now from the following lemma, applied to  $u = 1_G$ .

### Lemma

*Let  $(u_\alpha)_\alpha$  be a net in  $P(G)$  and  $u \in P(G)$  such that  $u_\alpha \rightarrow u$  uniformly on compact subsets of  $G$ . Then*

$$\|(u_\alpha - u)v\|_{A(G)} \rightarrow 0$$

*for every  $v \in A(G)$ .*

For (3)  $\implies$  (1) one shows that  $\|\lambda_G(f)\| = \|f\|_1$  for every  $f \in C_c(G)$ ,  $f \geq 0$ .

This implies amenability of  $G$ .

Let  $(u_\alpha)_\alpha$  be an approximate identity for  $A(G)$  bounded by  $c > 0$ . Let  $K = \text{supp}(f)$  and choose a compact symmetric neighbourhood  $V$  of  $e$  in  $G$ . Set

$$u = |V|^{-1} (1_V * 1_{VK}) \in A(G).$$

Then  $u = 1$  on  $K$  and hence, since  $\|u_\alpha u - u\|_{A(G)} \rightarrow 0$ ,  $u_\alpha \rightarrow 1$  uniformly on  $K$ . This implies, since  $f \geq 0$ ,

$$\begin{aligned} \|f\|_1 &= \lim_{\alpha} |\langle u_\alpha, f \rangle| = \lim_{\alpha} |\langle u_\alpha, \lambda_G(f) \rangle| \\ &\leq c \|\lambda_G(f)\|. \end{aligned}$$

Replacing  $f$  with the  $n$ -fold convolution product  $f^n$ , it follows that

$$\|f\|_1^n = \|f^n\|_1 \leq c \|\lambda_G(f^n)\| \leq c \|\lambda_G(f)\|^n$$

and therefore

$$\|f\|_1 \leq \|\lambda_G(f)\| \cdot \lim_{n \rightarrow \infty} c^{1/n} = \|\lambda_G(f)\| \leq \|f\|_1.$$

This completes the proof of (3)  $\implies$  (1).

## When does Spectral Synthesis hold for $A(G)$ ?

**Necessary Condition:**  $u \in \overline{uA(G)}$  for every  $u \in A(G)$ .

**Sufficient Condition:**  $G = \Delta(A(G))$  is discrete and  $u \in \overline{uA(G)}$  for every  $u \in A(G)$ .

### Remark

The hypothesis that  $u \in \overline{uA(G)}$  for every  $u \in A(G)$  is satisfied in the following cases:

- $G$  is amenable: then  $A(G)$  has a bounded approximate identity
- $G = \mathbb{F}_2$ ,  $G = SL(2, \mathbb{R})$  or  $G = SL(2, \mathbb{Z})$ : then  $A(G)$  has an approximate identity, which is bounded in the multiplier norm (Hagerup).

**Question:** Do we always have  $u \in \overline{uA(G)}$  for every  $u \in A(G)$ ?

## Theorem

Let  $G$  be an arbitrary locally compact group. Then spectral synthesis holds for  $A(G)$  (if and) only if  $G$  is discrete and  $u \in \overline{uA(G)}$  for each  $u \in A(G)$ .

E. Kaniuth and A.T. Lau, *Spectral synthesis for  $A(G)$  and subspaces of  $VN(G)$* , Proc. Amer. Math. Soc. **129** (2001), 3253-3263.

Independently, this result was also shown in

K. Parthasarathy and R. Prakash, *Malliavin's theorem for weak synthesis on nonabelian groups*, Bull. Sci. Math. **134** (2010), 561-576.

## Lemma

Let  $H$  be a closed subgroup of  $G$ , and let

$$I(H) = \{u \in A(G) : u|_H = 0\}.$$

Then the restriction map  $A(G) \rightarrow A(H)$  induces an isometric isomorphism

$$A(G)/I(H) \rightarrow A(H), \quad u + I(H) \rightarrow u|_H.$$

## Proof.

The map  $u + I(H) \rightarrow u|_H$  is an algebra isomorphism from  $A(G)/I(H)$  into  $A(H)$ . By the restriction theorem, it is surjective, and it is an isometry, since

$$\begin{aligned} \|u|_H\|_{A(H)} &= \inf\{\|v\|_{A(G)} : v \in A(G), v|_H = u|_H\} \\ &= \inf\{\|v\|_{A(G)} : v - u \in I(H)\} \\ &= \|u + I(H)\| \end{aligned}$$

for every  $u \in A(G)$ . □

## Lemma

Let  $K$  be a compact normal subgroup of  $G$ ,  $q : G \rightarrow G/K$  the quotient homomorphism and  $E$  a closed subset of  $G/K$ . If  $q^{-1}(E)$  is a set of synthesis for  $A(G)$ , then  $E$  is a set of synthesis for  $A(G/K)$ .

## Proof.

Given  $u \in k(E)$  and  $\epsilon > 0$ , consider  $u_1 = u \circ q$ . Then  $u_1 \in k(q^{-1}(E))$  and hence there exists  $v_1 \in j(q^{-1}(E))$  such that  $\|u_1 - v_1\| \leq \epsilon$ . Define  $v$  on  $G/K$  by

$$v(xK) = \int_K v_1(xk) dk = \int_K (R_k v_1)(x) dk.$$

Then  $v \in A(G/K)$  and

$$\|u - v\|_{A(G/K)} \left\| \int_K R_k(u_1 - v_1) dk \right\|_{A(G/K)} \leq \|u - v\|_{A(G)} \leq \epsilon.$$



## Proof continued

Moreover,  $v \in j(E)$  since:

- $C = \text{supp}(v_1)$  is compact and  $C \cap q^{-1}(E) = \emptyset$
- hence there exists a symmetric neighbourhood  $V$  of  $e$  in  $G$  such that  $C \cap Vq^{-1}(E) = \emptyset$
- $v$  vanishes on the neighbourhood  $q(Vq^{-1}(E))$  of  $E$  since  $v_1 = 0$  on  $Vq^{-1}(E)$
- $\text{supp } V \subseteq q(C)$



## Lemma

*Let  $G$  be a connected locally compact group. If spectral synthesis holds for  $A(G)$ , then  $G$  is trivial.*

## Proof.

Assume that  $G \neq \{e\}$ .

- $G$  connected  $\implies G$  contains a compact normal subgroup  $K$  such that  $G/K$  is a Lie group
- spectral synthesis holds for  $A(G/K)$
- the nontrivial connected Lie group  $G/K$  contains a closed nondiscrete abelian subgroup  $H$  (a one-parameter subgroup)
- spectral synthesis holds for  $A(H)$  since  $A(H)$  is a quotient of  $A(G)$
- this contradicts Malliavin's theorem for abelian groups □

## Proof of the Theorem

Suppose that synthesis holds for  $A(G)$

- then synthesis holds for  $G_0$ , the connected component of the identity
- $G_0 = \{e\}$  by the preceding lemma, i.e.  $G$  is totally disconnected

Fix a compact open subgroup  $K$  of  $G$ , and assume that  $K$  is infinite.

- by a theorem of Zelmanov, every infinite compact group contains an infinite abelian subgroup, say  $H$
- then spectral synthesis holds for  $A(H)$ , which contradicts Malliavin's theorem

## Fourier Algebras of Coset Spaces

$G$  a locally compact group,  $K$  a compact subgroup of  $G$  with normalized Haar measure

$G/K$  the space of left cosets of  $K$ , equipped with the quotient topology,  $q : G \rightarrow G/K$  the quotient map

### Definition

$A(G/K) = \{u : G/K \rightarrow \mathbb{C} : u \circ q \in A(G)\}$  is called the *Fourier algebra of  $G/K$* .

Let  $p_K : A(G) \rightarrow A(G/K)$  be defined by

$$p_K(u)(xK) = \int_K u(xk) dk, \quad u \in A(G), x \in G.$$

Then  $\rho_K$  maps the subalgebra

$$\{u \in A(G) : u(xk) = u(x) \text{ for all } k \in K \text{ and all } x \in G\}$$

of  $A(G)$  isometrically onto  $A(G/K)$ .

The spaces  $A(G/K)$  are precisely the norm closed left translation invariant subspaces of  $A(G)$  (Takesaki/Tatsuuma).

### Theorem

- 1  $A(G/K)$  is regular and semisimple
- 2  $\Delta(A(G/K)) = G/K$ : the map  $xK \rightarrow \varphi_{xK}$ , where  $\varphi_{xK}(u) = u(xK)$ , is a homeomorphism
- 3  $A(G/K)$  has a bounded approximate identity if and only if  $G$  is amenable

B.E. Forrest, *Fourier analysis on coset spaces*, Rocky Mountain J. Math. **28** (1998), 173-190.

## When does Spectral Synthesis hold for $A(G/K)$ ?

Yes, if  $K$  is open in  $G$  and  $u \in \overline{uA(G/K)}$  for every  $u \in A(G/K)$ .

**Conjecture:** The converse is true.

### Theorem

*Let  $G$  contain a nilpotent open subgroup. If  $K$  is a compact subgroup of  $G$  and spectral synthesis holds for  $A(G/K)$ , then  $K$  is open in  $G$ .*

### Corollary

*Suppose that  $G_0$ , the connected component of the identity, is nilpotent. If  $K$  is a compact subgroup of  $G$  and spectral synthesis holds for  $A(G/K)$ , then  $G_0 \subseteq K$ .*

*E. Kaniuth, Weak spectral synthesis in Fourier algebras of coset spaces, *Studia Math.* **197** (2010), 229-246.*

## Lemma

Let  $H$  be a closed subgroup and  $K$  a compact subgroup of  $G$ . Then the restriction map

$$A(G/K) \rightarrow A(H/H \cap K), \quad u \rightarrow u|_H$$

is surjective in any of the two cases:

- $H$  is contained in the normalizer of  $K$
- $H$  is open in  $G$ .

## Lemma

Let  $i : H/H \cap K \rightarrow G/K$ ,  $x(H \cap K) \rightarrow xK$ ,  $x \in H$ , and suppose that

$$u \rightarrow u|_H, \quad A(G/K) \rightarrow A(H/H \cap K)$$

is surjective. Let  $E$  be a closed subset of  $H/H \cap K = \Delta(A(H/H \cap K))$ . If  $i(E)$  is a set of synthesis (Ditkin set) for  $A(G/K)$ , then  $E$  is a set of synthesis (a Ditkin set) for  $A(H/H \cap K)$ .

## Corollary

- ① Singletons  $\{xK\}$  are sets of synthesis for  $A(G/K)$
- ② If  $G$  is amenable, then finite subsets of  $G/K$  are Ditkin sets for  $A(G/K)$ .

## Proof.

Take  $H = K$  and recall that  $xK$  is a set of synthesis for  $A(G)$  and that  $xK$  is a Ditkin set if  $G$  is amenable.  $\square$

(1) and (2) for sets of synthesis were already proved by Forrest l.c..