## 14. Langlands' functoriality.

Langlands' functoriality can be roughly formulated as: If  $\mathbf{H}$ ,  $\mathbf{G}$  are two quasi-split reductive groups over a number field F, then to each homomorphism of L-groups,  $\phi: {}^{L}H \longrightarrow {}^{L}G$ , there is associated a lift (transfer) of automorphic representations of  $\mathbf{H}(\mathbb{A})$  to automorphic representations of  $\mathbf{G}(\mathbb{A})$ .

More precisely, let  $\pi = \otimes_v \pi_v$  be a cuspidal representation of  $\mathbf{H}(\mathbb{A})$ . Let  $\{t_v\}$  be the semi-simple conjugacy class of  $\pi_v$  for  $v \notin S$ . Then there exists an automorphic representation  $\Pi = \otimes_v \Pi_v$  of  $\mathbf{G}(\mathbb{A})$  such that  $\{\phi(t_v)\}$  is the semi-simple conjugacy class of  $\Pi_v$  for  $v \notin S$ .

Example 14.1. Let  $\mathbf{H} = \{e\}$  and  $\mathbf{G} = GL_2$ . Take  ${}^LH = Gal(\bar{F}/F)$  and  ${}^LG = GL_2(\mathbb{C})$ . Then Langlands' functoriality becomes the strong Artin conjecture, namely, given  $\phi: Gal(\bar{F}/F) \longrightarrow GL_2(\mathbb{C})$ , there exists an automorphic representation  $\pi$  of  $GL_2(\mathbb{A})$  such that  $\phi(Frob_v)$  is the semi-simple conjugacy class of  $\pi_v$  for  $v \notin S$ . Strong Artin conjecture remains open for icosahedral Galois representations.

Example 14.2. Let  $\mathbf{H} = Sp(2n), SO(2n+1), SO(2n)$ , and  $\mathbf{G} = GL_N$ , where N = 2n+1 or 2n, and  $\phi: {}^LH \longrightarrow {}^LG$  is the embedding. This case is proved by Cogdell, Kim, Piatetski-Shapiro, and Shahidi.

Reformulation of Langlands' functoriality using Langlands' hypothetical group  $L_F$ . The global Langlands' conjecture is that automorphic representations of  $\mathbf{G}(\mathbb{A})$  are parametrized by admissible homomorphisms  $\psi: L_F \longrightarrow {}^L G$ ;

- (1) There exists an L-packet  $\Pi_{\psi}$  which consists of automorphic representations of  $\mathbf{G}(\mathbb{A})$  attached to  $\psi$
- (2) Every automorphic representation belongs to  $\Pi_{\psi}$  for a unique  $\psi$ .
- (3)  $\Pi_{\psi}$ 's are disjoint.

Using the global Langlands' conjecture, Langlands' functoriality can be formulated as: Given an automorphic representation  $\pi$  of  $\mathbf{H}(\mathbb{A})$ , attach  $\psi: L_F \longrightarrow {}^L H$ . It gives rise to  $\phi \circ \psi: L_F \longrightarrow {}^L G$ . There is a L-packet attached to  $\phi \circ \psi$ .

## Reformulation of Langlands' functoriality using local Langlands correspondence.

Let  $\pi = \otimes_v \pi_v$  be an automorphic representation of  $\mathbf{H}(\mathbb{A})$ . By the local Langlands' correspondence, to each  $\pi_v$ , there is associated an admissible homomorphism  $\psi_v : W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow {}^L H$ . It gives rise to  $\phi \circ \psi_v : W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow {}^L G$ . It gives rise to an irreducible admissible representation  $\Pi_v$  of  $\mathbf{G}(F_v)$ . Then  $\Pi = \otimes_v \Pi_v$  is an irreducible admissible representation of  $\mathbf{G}(\mathbb{A})$ .

## Langlands' functoriality conjecture. $\Pi$ is automorphic.

Example 14.3. Let  $\phi: GL_{n_1}(\mathbb{C}) \times GL_{n_2}(\mathbb{C}) \longrightarrow GL_{n_1n_2}(\mathbb{C})$  be the map given by the tensor product. Let  $\pi_1, \pi_2$  be cuspidal representations of  $GL_{n_i}(\mathbb{A})$ , resp. Let  $\psi_{iv}: W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow GL_{n_i}(\mathbb{C})$  be the parametrization of  $\pi_{iv}$  for i = 1, 2. Then we obtain a map  $\phi \circ (\psi_{1v} \otimes \psi_{2v}): W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow GL_{n_1n_2}(\mathbb{C})$ . Let  $\pi_{1v} \boxtimes \pi_{2v}$  be the irreducible admissible representation of  $GL_{n_1n_2}(F_v)$  attached to  $\phi \circ (\psi_{1v} \otimes \psi_{2v})$  by the local Langlands correspondence [H-T, He2, La4]. Let  $\pi_1 \boxtimes \pi_2 = \otimes_v(\pi_{1v} \boxtimes \pi_{2v})$ .

Langlands' functoriality conjecture is that  $\pi_1 \boxtimes \pi_2$  is an automorphic representation of  $GL_{n_1n_2}(\mathbb{A})$ .

Example 14.4. Let  $Sym^m: GL_2(\mathbb{C}) \longrightarrow GL_{m+1}(\mathbb{C})$  be the  $m^{th}$  symmetric power representation of  $GL_2(\mathbb{C})$  on the space of symmetric tensors of rank m. Let  $\pi = \otimes_v \pi_v$  be a cuspidal representation of  $GL_2(\mathbb{A})$ . By the local Langlands' correspondence [H-T, He2, La4],  $Sym^m(\pi_v)$  is a well-defined representation of  $GL_{m+1}(F_v)$  for all v; Let  $\psi_v: W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow GL_2(\mathbb{C})$  be the parametrization of  $\pi_v$ . Then we have a map  $Sym^m(\psi_v): W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow GL_{m+1}(\mathbb{C})$ . Then  $Sym^m(\pi_v)$  is the representation of  $GL_{m+1}(F_v)$ , corresponding to  $Sym^m(\psi_v)$ . Let  $Sym^m(\pi) = \otimes_v Sym^m(\pi_v)$ . It is an irreducible admissible representation of  $GL_{m+1}(\mathbb{A})$ . Langlands' functoriality is that  $Sym^m(\pi) = \otimes_v Sym^m(\pi_v)$  is an automorphic representation of  $GL_{m+1}(\mathbb{A})$ .

Example 14.5. Let  $\wedge^2: GL_n(\mathbb{C}) \longrightarrow GL_N(\mathbb{C})$ , where  $N = \frac{n(n-1)}{2}$ , be the map given by exterior square. Let  $\pi = \bigotimes_v \pi_v$  be a cuspidal (automorphic) representation of  $GL_n(\mathbb{A})$ . By the local Langlands correspondence,  $\wedge^2 \pi_v$  is well-defined as an irreducible admissible representation of  $GL_N(F_v)$  for all v (the work of Harris-Taylor and Henniart [H-T, He2] on p-adic places, and of Langlands [La4] on archimedean places). Let  $\wedge^2 \pi = \bigotimes_v \wedge^2 \pi_v$ . It is an irreducible admissible representation of  $GL_N(\mathbb{A})$ . Then Langlands' functoriality is that  $\wedge^2 \pi$  is automorphic.

There are three methods in establishing Langlands' functoriality:

- (1) Trace formula (Selberg-Arthur); this method was successfully used in establishing base change and automorphic induction (Arthur-Clozel) and certain unitary groups (Flicker, Rogawski).
- (2) Theta correspondence (Howe, Li, Kudla, Rallis, Soudry, Ginzburg, Jiang,...); this method does not give Langlands functoriality in the above sense. Rather it gives a correspondence between cuspidal representations of different groups such as between  $Sp_{2n}$  and  $O_m$ .
- (3) Converse theorem of Cogdell and Piatetski-Shapiro

The converse theorem is especially well suited when  $\mathbf{G} = GL_N$ .

## 15. Converse theorem of Cogdell and Piatetski-Shapiro.

**Theorem 15.1.** Suppose  $\Pi = \otimes_v \Pi_v$  is an irreducible admissible representation of  $GL_n(\mathbb{A})$  such that  $\omega_{\Pi} = \otimes_v \omega_{\Pi_v}$  is a grössencharacter of F. Let S be a finite set of finite places and let  $\mathcal{T}^S(m)$  be a set of cuspidal representations of  $GL_m(\mathbb{A})$  that are unramified at all places  $v \in S$ . Suppose  $L(s, \sigma \times \Pi)$  is nice (i.e., entire, bounded in vertical strips and satisfies a functional equation) for all cuspidal representations  $\sigma \in \mathcal{T}^S(m)$ , m < n - 1. Then there exists an automorphic representation  $\Pi'$  of  $GL_n(\mathbb{A})$  such that  $\Pi_v \simeq \Pi'_v$  for all  $v \notin S$ .

Remark. In all our applications of the converse theorem, we need only the weak version of twisting up to n-1. We used the stronger version only for the convenience.

Suppose **H** be a split reductive group and  $\phi: {}^{L}H \longrightarrow GL_{N}(\mathbb{C})$ . Let  $\pi = \otimes_{v}\pi_{v}$  be a cuspidal representation of  $\mathbf{H}(\mathbb{A})$ , and S be a finite set of finite places such that

 $\pi_v$  is unramified for  $v \notin S$ ,  $v < \infty$ . We assume the local Langlands' correspondence for  $\mathbf{H}$ , and we obtain the irreducible admissible representation  $\Pi = \otimes_v \Pi_v$ , where  $\Pi_v$  is attached to  $\phi \circ \psi_v$ , and  $\psi_v : W_{F_v} \times SL_2(\mathbb{C}) \longrightarrow {}^L H$ .

We apply the converse theorem to  $\Pi$  and S. We need to do the following:

(1) For all v,

$$\gamma(s, \sigma_v \otimes \pi_v, \rho_m \otimes \phi, \psi_v) = \gamma(s, \sigma_v \times \Pi_v, \psi_v),$$
  
$$L(s, \sigma_v \otimes \pi_v, \rho_m \otimes \phi) = L(s, \sigma_v \times \Pi_v),$$

for all  $\sigma_v$ , where  $\sigma = \otimes_v \sigma_v \in \mathcal{T}^S(m)$ , m = 1, ..., N - 2. Here  $\rho_m$  is the standard representation of  $GL_m(\mathbb{C})$ .

- (2) Prove the analytic continuation and functional equation of the *L*-functions  $L(s, \sigma \otimes \pi, \rho_m \otimes \phi)$ .
- (3) Prove that  $L(s, \sigma \otimes \pi, \rho_m \otimes \phi)$  is entire for  $\sigma \in \mathcal{T}^S(m), m = 1, ..., N-2$
- (4) Prove that  $L(s, \sigma \otimes \pi, \rho_m \otimes \phi)$  is bounded in vertical strips for  $\sigma \in \mathcal{T}^S(m)$ , m = 1, ..., N-2

We have to first see whether the L-functions  $L(s, \sigma \otimes \pi, \rho_m \otimes \phi)$  are available from Langlands-Shahidi method. If they are, then (2), (3), and (4) are consequences of very general results; (2) is a result of Shahidi. (4) is a result of Gelbart-Shahidi. (3) is not true in general. We need to replace  $\mathcal{T}^S(m)$  by  $\mathcal{T}^S(m) \otimes \chi$ , where  $\chi$  is a grössencharacter such that  $\chi_v$  is highly ramified for  $v \in S$ . (1) is not obvious: The left hand side is defined as normalizing factors of intertwining operators. The right hand side is defined by integral representations.

In the case of  $Sp(2n), SO(2n), SO(2n+1), \Pi_v$  is not even defined due to the lack of the local Langlands' correspondence. We use the stability of  $\gamma$ -factors.

**Proposition 15.2.**  $L(s, (\sigma_v \otimes \chi_v) \times \Pi_v) = 1$  for every highly ramified character  $\chi_v$ ;  $L(s, (\sigma_v \otimes \chi_v) \otimes \pi_v, \rho_m \otimes \phi) = 1$  if the *L*-functions show up in the Langlands-Shahidi method.

**Proposition 15.3 (Jacquet-Shalika [J-S2]).** Suppose  $\Pi_{1v}, \Pi_{2v}$  be representations of  $GL_N(F_v)$  such that  $\omega_{\Pi_{1v}} = \omega_{\Pi_{2v}}$ . Then for every highly ramified character  $\chi_v$ ,

$$\gamma(s, (\sigma_v \otimes \chi_v) \times \Pi_{1v}, \psi_v) = \gamma(s, (\sigma_v \otimes \chi_v) \times \Pi_{2v}, \psi_v).$$

Conjecture 15.4 (stability of  $\gamma$ -factors). Let  $\pi_{1v}, \pi_{2v}$  be representations of  $\mathbf{H}(F_v)$  such that  $\omega_{\pi_{1v}} = \omega_{\pi_{2v}}$ . Then for every highly ramified character  $\chi_v$ ,

$$\gamma(s, (\sigma_v \otimes \chi_v) \otimes \pi_{1v}, \rho_m \otimes \phi, \psi_v) = \gamma(s, (\sigma_v \otimes \chi_v) \otimes \pi_{2v}, \rho_m \otimes \phi, \psi_v).$$

Assume the stability of  $\gamma$ -factors, and we explain how (1) can be obtained. If  $v \in S$ , take  $\Pi_v$  to be arbitrary so that  $\omega_{\Pi_v} = \omega_{\pi_v}$ . Now we use the set  $\mathcal{T}^S(m) \otimes \chi$ , where  $\chi$  is a grössencharacter of F such that  $\chi_v$  is highly ramified at  $v \in S$ . Then

$$L(s, (\sigma_v \otimes \chi_v) \otimes \pi_v, \rho_m \otimes \phi) = L(s, (\sigma_v \otimes \chi_v) \times \Pi_v) = 1.$$

Take  $\pi'_v$  to be a spherical representation such that  $\pi'_v \hookrightarrow Ind_B^H \mu_1 \otimes \cdots \otimes \mu_r$ . For a spherical representation  $\pi'_v$ , we have a lift  $\Pi'_v$ . Then by multiplicativity of  $\gamma$ -factors,

$$\gamma(s, (\sigma_v \otimes \chi_v) \otimes \pi'_v, \rho_m \otimes \phi, \psi_v) = \gamma(s, (\sigma_v \otimes \chi_v) \times \Pi'_v, \psi_v),$$

is a product of  $\gamma$ -factors for  $\gamma(s, \sigma_v \otimes \eta, \psi_v)$  for various  $\eta$ . Hence by Proposition 14.3 and Conjecture 14.4,

$$\gamma(s, (\sigma_v \otimes \chi_v) \otimes \pi_v, \rho_m \otimes \phi, \psi_v) = \gamma(s, (\sigma_v \otimes \chi_v) \otimes \pi'_v, \rho_m \otimes \phi, \psi_v) = \gamma(s, (\sigma_v \otimes \chi_v) \times \Pi'_v, \psi_v) = \gamma(s, (\sigma_v \otimes \chi_v) \otimes \Pi_v, \psi_v).$$

By applying the converse theorem, we obtain an automorphic representation  $\Pi'' = \bigotimes_v \Pi''_v$  such that  $\Pi_v \simeq \Pi''_v$  for all  $v \notin S$ . We make the following definition.

**Definition 15.5.** Let  $\pi = \bigotimes_v \pi_v$  be a cuspidal representation of  $\mathbf{H}(\mathbb{A})$ . We say that an automorphic representation  $\Pi'$  of  $GL_N(\mathbb{A})$  is a strong exterior square lift of  $\pi$  if for every v,  $\Pi'_v$  is a local lift of  $\pi_v$ , in the sense that

$$\gamma(s, \sigma_v \otimes \pi_v, \rho_m \otimes \phi, \psi_v) = \gamma(s, \sigma_v \times \Pi'_v, \psi_v),$$
  
$$L(s, \sigma_v \otimes \pi_v, \rho_m \otimes \phi) = L(s, \sigma_v \times \Pi'_v),$$

for all generic irreducible representation  $\sigma_v$  of  $GL_m(F_v)$ ,  $1 \le m \le N-2$ . If the above equality holds for almost all v, then  $\Pi'$  is called weak lift of  $\pi$ .

Often proving that  $\Pi'_v \simeq \Pi_v$  is very difficult, especially at primes dividing 2.