

Collisionless Damping in Plasmas
and Neutral Gases.

Fluid-like closures for the long
mean-free-path regimes

A. Smolyakov
University of Saskatchewan, Canada

Kinetic Theory Workshop, Fields Institute

Motivation

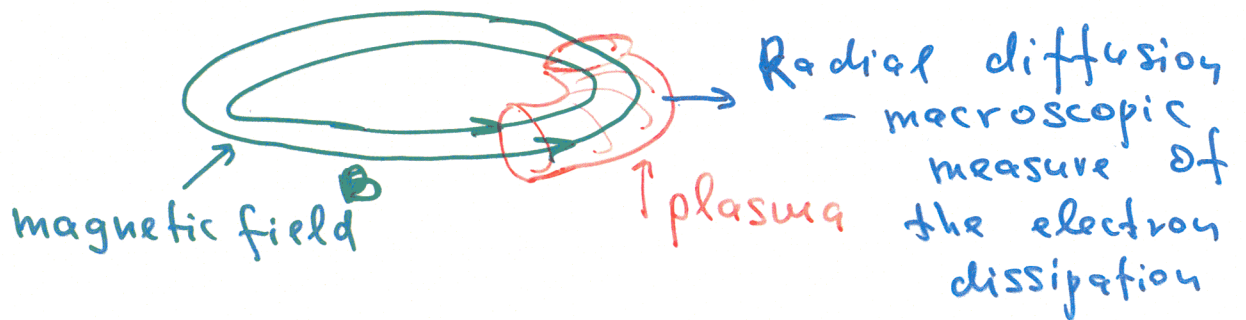
- Discuss fluid like closures for the ~~long~~ mean-free-path regimes
- Develop the closure model in terms of the source profile functions
- Discuss analogy between Landau damping (boundary value problem) and ultrasound damping in neutral gases

Related folks at this workshop:

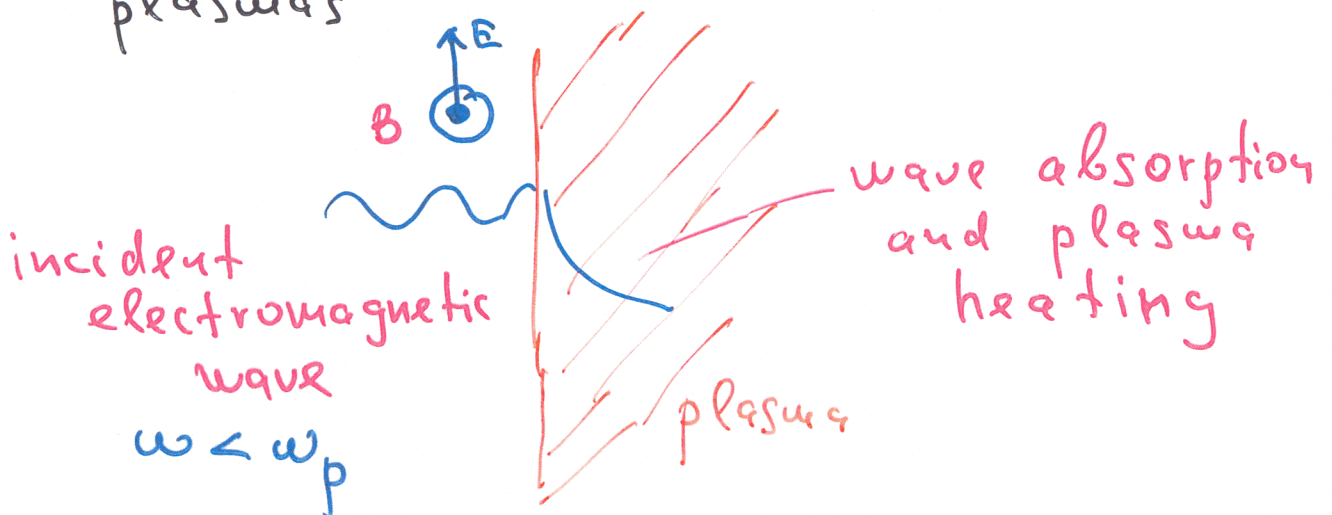
D. Levermore
G. Hammett
B. Dorland
T. Passot

Collisionless Dissipation in Plasmas

- Collisional dissipation in tokamaks is too weak to explain electron diffusion across the magnetic surfaces
 - in absence of dissipation electron fluid is frozen-in the magnetic field



- Plasma heating due to collisionless absorption of the electromagnetic wave in the inductively coupled plasmas



Anomalous power absorption or collisionless heating

$$S(z) = \int_0^z P(x) dx \quad - \text{total absorbed power}$$

Heating

Collisional (Ohmic) Collisionless (resonant)

Collisionless heating dominates!

Effective resonant wave-particle interaction (Landau damping)

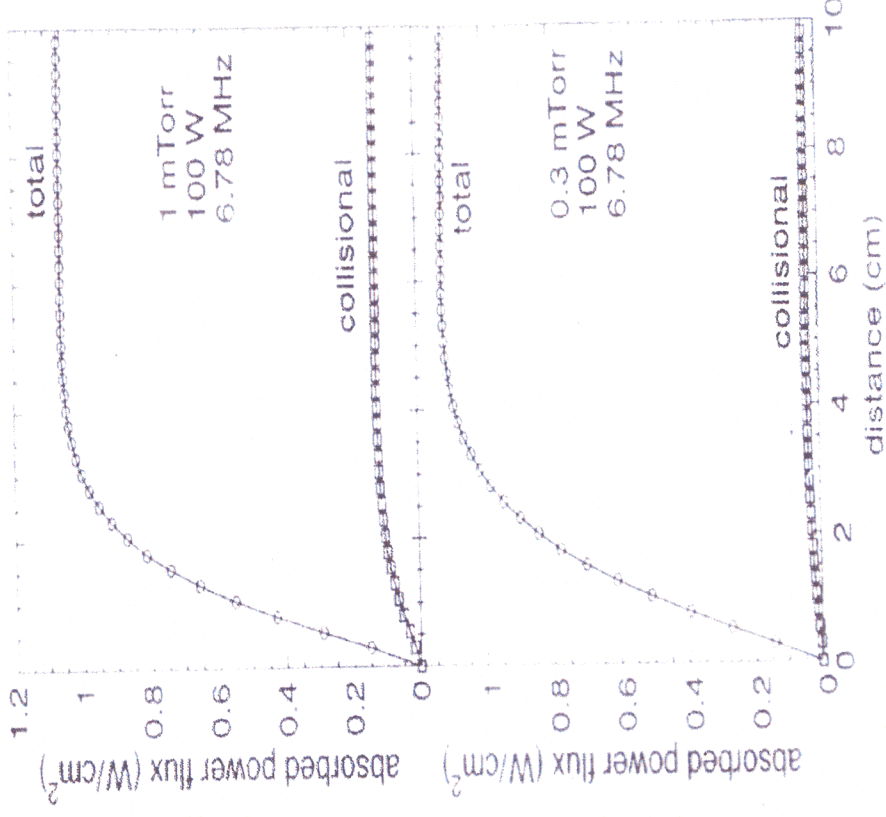


FIG. 1 Absorbed power flux for 0.3 and 1.0 mTorr.

Godyak et. al., Phys. Rev. Lett. **80**, 3264 (1998)

Fluid models + Collisionless Absorption

- Kadomtsev (1970): Use standard collisional fluid model, replace collisional frequency ν with $\nu_{Te}/\lambda: \nu \rightarrow \nu_{Te}/\lambda$
Landau resonance: $\omega \approx k v_{Te}$

- Kadomtsev (1984): Electron viscosity and heat flux are responsible for collisionless dissipation. Calculate q and π exactly from the kinetic equation, $q_{||} = q_{||}(E_{||})$, $\pi_{||} = \pi_{||}(E_{||})$

- Model dissipative terms (viscosity/heat flux): Lee, Diamond (1986), Hamaguchi, Horton (1990) Waltz (1988)

- Closure models

$$\frac{\partial}{\partial t} (m n \mathbf{V}) + \frac{\partial}{\partial z} (m n \mathbf{V} \mathbf{V}) = - \frac{\partial p}{\partial z} + e n E - \frac{\partial}{\partial z} \pi$$

$$\frac{\partial}{\partial t} p + \frac{\partial}{\partial z} (p \mathbf{V}) = - 2(p + \pi) \frac{\partial \mathbf{V}}{\partial z} - \frac{\partial}{\partial z} q$$

Postulated form $\rightarrow q = -\chi \frac{\partial T}{\partial z} \quad \pi = -\int q \frac{\partial \mathbf{V}}{\partial z}$

$\chi, \int q$ - are chosen to fit the linear kinetic response (in Fourier-space)

Hammet, Perkins (1990)

Dorland, Hammet (1993)

Snyder, Hammet, Dorland (1997) $\leftarrow$ toroidal, FLR

$\leftarrow$ KHD

the equation for ψ which can be solved by the method, gives

$$\frac{\partial \psi}{\partial t} = c \frac{B}{B_0} \left(\nabla \phi - \frac{\nabla p_e}{en} \right) + \frac{c^2 \hat{\eta}}{4\pi} \Delta_{\perp} \psi, \quad (4)$$

where $\hat{\eta}$ is the collisionless resistivity of the plasma, which in the Fourier representation is found from the relation

$$\tilde{\eta}_{k\omega}^{-1} = \sigma_{k\omega} = -i \frac{e^2 n \omega}{T_e k_{\parallel}} \left\{ 1 + \frac{\omega}{k_{\parallel} v_e} Z \left(\frac{\omega}{k_{\parallel} v_e} \right) \right\}. \quad (5)$$

Here $v_e = \sqrt{2T_e/m}$, m is the mass of the electron, and Z is the so-called dispersion function.⁸ Since in what follows we shall need only the real part of $\hat{\eta}$, we set approximately

$$\hat{\eta} \cong \text{Re} \hat{\eta} \approx \begin{cases} \frac{mk_{\parallel} v_e}{e^2 n} & \text{for } \omega^2 \leq k_{\parallel}^2 v_e^2, \\ 0 & \text{for } \omega^2 > k_{\parallel}^2 v_e^2. \end{cases} \quad (6)$$

Kadomtsev, 1984

$$\frac{dn}{dt} = \frac{c}{4\pi e B_0} (\mathbf{B}, \nabla) \Delta_{\perp} \psi; \quad (1)$$

an equation for the generalized vorticity¹⁾ $\Gamma = -\rho_i^{-2} (1 - \exp(\rho_i^2 \Delta_{\perp})) I_0(-\rho_i^2 \Delta_{\perp}) c \varphi / B_0$:

$$\frac{d\Gamma}{dt} = \frac{1}{4\pi M n_0} (\mathbf{B}, \nabla) \Delta_{\perp} \psi; \quad (2)$$

a generalized Ohm's law,

$$\frac{d\psi}{dt} = c e_z \nabla \varphi - \frac{c}{e B_0} \mathbf{B} \nabla T_e \ln \frac{n}{n_0} + \frac{c^2 \hat{\eta}}{4\pi} \Delta_{\perp} \psi; \quad (3)$$

and a heat transfer equation,

$$\frac{1}{2} \frac{d}{dt} n T_e = \frac{(\mathbf{B}, \nabla q_{\parallel})}{B_0} + E_{\parallel} j_{\parallel}. \quad (4)$$

*collisionless
dissipation*

Here $d/dt = \partial/\partial t + (c/B_0) [\mathbf{e}_z \nabla \varphi] \cdot \nabla$; M and m are the ion and electron masses; $B_p = [e_z \nabla \psi]$; n is the density; n_0 is the average density; $\rho_i^2 = T_i / M \omega B_i^2$ is the ion Larmor radius; the operator $\hat{\eta} = \hat{\sigma}^{-1}$ represents the specific collisionless resistance; and

$$q_{\parallel} = - \int \frac{m}{2} (v_{\perp}^2 + v_{\parallel}^2) v_{\parallel} f(v) dv = \left(\frac{m v_{e0}^2 \theta}{2e} + \hat{\mu} \right) E_{\parallel}.$$

The operators $\hat{\eta}$ and $\hat{\mu}$ in an inhomogeneous plasma can be found from the electron drift kinetic equation

$$\frac{d}{dt} f(v_{\parallel}) + \frac{v_{\parallel} \cdot \mathbf{B}}{B_0} \nabla f(v_{\parallel}) + \frac{e}{m} E_{\parallel} \frac{\partial f}{\partial v_{\parallel}} = 0. \quad (5)$$

Kadomtsev, 1986

Chapman-Enskog like equations closures

$$\frac{\partial n}{\partial t} + \nabla \cdot (n\mathbf{V}) = 0,$$

$$mn \left(\frac{\partial}{\partial t} + \mathbf{V} \cdot \nabla \right) \mathbf{V} = en\mathbf{E} - \nabla p - \nabla \cdot \pi,$$

$$\frac{3}{2}n \frac{\partial T}{\partial t} + \frac{5}{2}p \nabla \cdot \mathbf{V} + \nabla \cdot \mathbf{q} + \pi : \nabla \mathbf{V} = 0,$$

$$f(\mathbf{x}, t) = n(\mathbf{x}, t) \left(\frac{m}{2\pi T(\mathbf{x}, t)} \right)^{3/2} \exp \left(-\frac{m(\mathbf{v} - \mathbf{V})^2}{2T(\mathbf{x}, t)} \right) + \tilde{F}.$$

Chapman-Enskog
Ansatz

$$\int \tilde{F} \{1, \mathbf{v}, m\mathbf{v}^2/2\} d\mathbf{v} = 0$$

$$\frac{\partial \tilde{F}}{\partial t} + \mathbf{v} \cdot \nabla \tilde{F} + \frac{q}{m} \mathbf{E} \cdot \frac{\partial \tilde{F}}{\partial \mathbf{v}} = C(f)$$

nonlinear

$$\begin{aligned} \frac{\partial \tilde{F}}{\partial t} + \mathbf{v} \cdot \nabla \tilde{F} - C(f) + \frac{q}{m} \mathbf{E} \cdot \frac{\partial \tilde{F}}{\partial \mathbf{v}} &= \frac{m}{T} \left(\mathbf{v}' \mathbf{v}' - \frac{\mathbf{v}'^2}{3} \mathbf{I} \right) : \nabla \mathbf{V} f_M + \mathbf{v}' \cdot \nabla \cdot \pi \frac{f_M}{p_0} \\ &+ \left(\frac{m\mathbf{v}'^2}{3T} - 1 \right) (\pi : \nabla \mathbf{V} + \nabla \cdot \mathbf{q}) \frac{f_M}{p_0} - \left(\frac{m\mathbf{v}'^2}{2T} - \frac{5}{2} \right) \mathbf{v}' \cdot \nabla T \frac{f_M}{T_0} \end{aligned}$$

Solved
in Fourier
space

$$\tilde{F} = \tilde{F}(\pi, q, \mathbf{V}, \nabla_{||} T)$$

$$\pi = \pi(\mathbf{V}_{||}, \nabla_{||} T)$$

$$q = q(\mathbf{V}_{||}, \nabla_{||} T)$$

closure
equations
Chang, Callen (1992)

$$\bar{F} = k_{||} u_{||} \frac{4}{3v_t^2} \frac{f_M}{\omega - k_{||} v_{||}} (v_{||}^2 - \frac{v_t^2}{2}) - k_{||} \Pi_{||} \frac{2}{3p} \frac{v_{||} f_M}{\omega - k_{||} v_{||}} \\ - k_{||} q_{||} \frac{2}{3p} \frac{f_M}{\omega - k_{||} v_{||}} (\frac{v_{||}^2}{v_t^2} - \frac{3}{2}) + k_{||} \frac{\tilde{T}}{T} \frac{v_{||} f_M}{\omega - k_{||} v_{||}} (\frac{v_{||}^2}{v_t^2} - \frac{5}{2})$$

Closures
relations

$$\frac{\pi_{||}}{p_0} = A_u \frac{u_{||}}{v_t} + A_T \frac{\tilde{T}^\dagger}{T_0} \\ \frac{q_{||}}{p_0 v_t} = B_u \frac{u_{||}}{v_t} + B_T \frac{\tilde{T}^\dagger}{T_0}$$

$$A_u = \frac{-12sZ(s) + 6Z^2(s) - 12s^2Z^2(s)}{2s - 5Z(s) + 2s^2Z(s) - 4sZ^2(s)}$$

$$A_T = \frac{6s + 3Z(s) + 6s^2Z(s) + 6sZ^2(s)}{2s - 5Z(s) + 2s^2Z(s) - 4sZ^2(s)}$$

$$B_u = \frac{4s + 2Z(s) + 4s^2Z(s) + 4sZ^2(s)}{2s - 5Z(s) + 2s^2Z(s) - 4sZ^2(s)}$$

$$B_T = \frac{-9 + 3s^2 - 33/2sZ(s) + 3s^3Z(s) - 6s^2Z_P^2(s)}{2s - 5Z(s) + 2s^2Z(s) - 4sZ^2(s)}$$

$$s = (\omega + i\nu)/Kv_T$$

$$\left\{ \begin{array}{l} iK\tilde{\pi} = -n_0 m \int (-k^2 \tilde{v}) - \left(\frac{2}{5} n_0 iK_{||} \tilde{T} \right) \\ \tilde{q} = \left(\frac{2}{5} p_0 \tilde{v} \right) - n_0 \int_{||} iK_{||} \tilde{T} \end{array} \right.$$

Onsager
symmetry

collisionless case $Kv_T \gg (\omega, \nu)$

Transport theory in the collisionless limit (Hazeltine, 1998)

- Magnetically confined plasmas are approximately Maxwellian
- confinement time is much longer compared to collision times
 $\tau_H \gg \tau_c \sim 1/\nu$

- Weak Maxwellian sources are assumed

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} = C(f) + S(f) \quad \text{--- source}$$

$$S(f) = \hat{f}_m \left(\underset{\uparrow \text{ particles}}{S_0(x)} + (s^2 - \frac{1}{2}) \underset{\uparrow \text{ energy}}{S_2(x)} \right) \quad \nwarrow$$

$$\frac{\partial}{\partial t} u + \frac{\partial}{\partial x} u v = S_0 \quad \leftarrow \text{sources}$$

$$\frac{\partial}{\partial t} p + \frac{\partial}{\partial x} (p v) = -\Gamma p \frac{\partial u}{\partial x} + \frac{\partial q}{\partial x} + \left(\frac{S_2}{2} - S_0 \right) \quad \downarrow$$

$$q = (\hat{p}, S_2, S_0, \dots) \quad q_k = -\frac{\partial \lambda^2}{2} k(\xi) n_0 A_{2k} \quad A_2 = \frac{\partial \ln T}{\partial x}$$

$$\Gamma = (S_0, \hat{u}) \quad k(\xi) = \frac{2(\xi^2 - 1) + \xi(2\xi^2 - 1)\bar{z}}{2\xi + (2\xi^2 - 1)\bar{z}} \quad \xi = -i/k\lambda$$

$$\Gamma_k = -\frac{1}{2} \partial \lambda^2 n_0 \circ \ln p = -\frac{1}{2} \frac{n_+^2}{v} n_0 \circ \ln p$$

singular for $\partial \rightarrow 0$

The Boltzmann kinetic equation

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{x}} = C(f)$$

Constraints of particle, momentum, and energy conservation

$$\int C(f) d\mathbf{v} = 0,$$

$$\int \mathbf{v} C(f) d\mathbf{v} = 0,$$

$$\int v^2 C(f) d\mathbf{v} = 0$$

A linearized model operator of the relaxation type

$$C(f) = -\nu (f - f_r),$$

The moments of the distribution function

$$n = \int f d\mathbf{v}$$

$$n\mathbf{V} = \int \mathbf{v} f d\mathbf{v}$$

$$p = \frac{m}{3} \int v^2 f d\mathbf{v}$$

where $\mathbf{v}' = \mathbf{v} - \mathbf{V}$ is the random velocity.

Relaxation distribution function

$$f_r = f_M \left[\frac{\tilde{n}}{n_0} + 2 \frac{\mathbf{V} \cdot \mathbf{v}}{v_t^2} + \frac{\tilde{T}}{T_0} \left(\frac{v^2}{v_t^2} - \frac{3}{2} \right) \right],$$

Closure in terms of the source functions

(Hazeltine, 1998)

Kinetic equation

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} = C(f) + S(f)$$

$$S(f) = \frac{f_M}{n_0} \left(S_0 + \underbrace{2S_x \frac{v_x}{v_t^2}}_{\text{momentum}} + S_2 \left(\frac{v^2}{v_t^2} - \frac{3}{2} \right) \right)$$

Conservation laws

$$\frac{\partial n}{\partial t} + \nabla \cdot (n \mathbf{V}) = S_0$$

$$mn \frac{d\mathbf{V}}{dt} = -\nabla p - \nabla \cdot \pi + S_1$$

$$\frac{3}{2} n \frac{dT}{dt} + \frac{5}{2} p \nabla \cdot \mathbf{V} + \nabla \cdot \mathbf{q} + \pi : \nabla \mathbf{V} = S_2$$

Sources drive the fluxes!

$$\tilde{f}_k = \frac{\hat{f}_M}{-i\omega + ikv + \nu} F_k$$

$$F_k = 2\sigma_{0k} + 2s_x (\sigma_{1k} + \nu \hat{V}_k) + \left(s^2 - \frac{3}{2} \right) (\sigma_{2k} + \nu \hat{T}_k)$$

$$\hat{T}_k = \frac{2}{3} \int \left(s^2 - \frac{3}{2} \right) f_k d^3v$$

$$\hat{n}_k = \int f_k d^3v$$

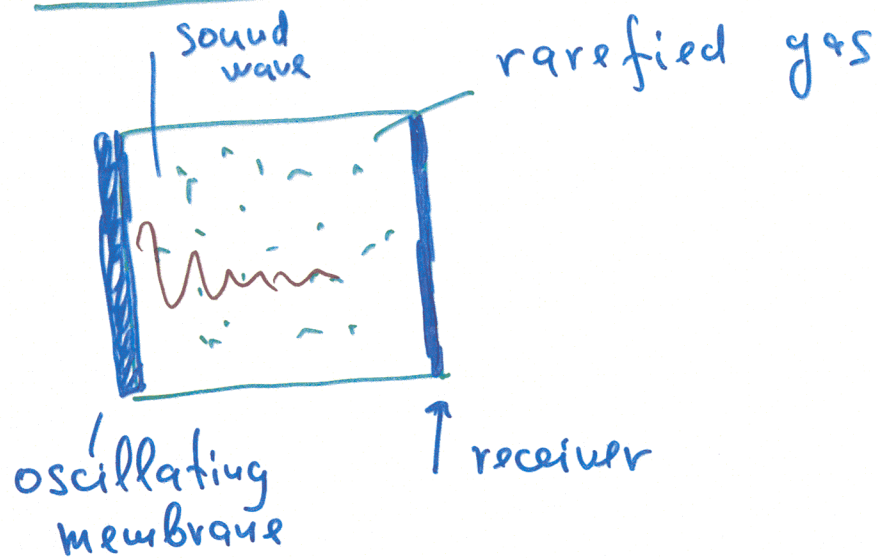
$$\hat{V}_k = \int v f_k d^3v$$

Closures

$$\begin{cases} \pi_k = \pi_k(\hat{V}_k, \hat{T}_k) \\ q_k = q_k(\hat{V}_k, \hat{T}_k) \end{cases}$$

Similar to Chang-Callen (identical?)

Collisionless damping of sound waves in neutral gases



- Forced wave (boundary value) problem, $\omega = \omega_{\text{real}}$ (real)
 $K = K_R + i K_I$
 $\uparrow$ damping
- Non-exponential decay ($K_R, K_I \neq \text{const}$)
(note that it also typical for classical boundary value Landau problem in plasmas)
- Receiver effects (e.g. standing wave)

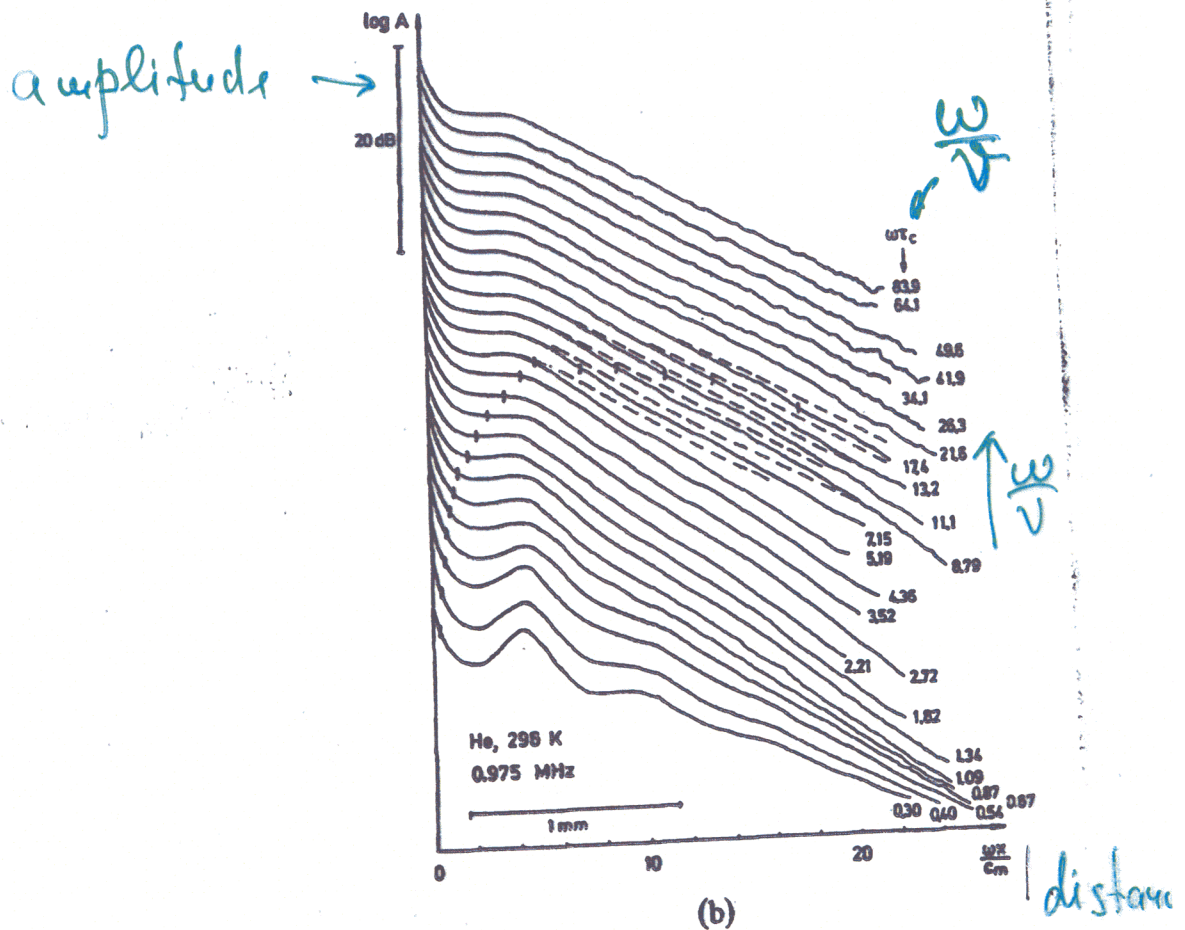


FIG. 3. Experimental recordings of the phase (a) and the logarithm of the amplitude (b) versus normalized transmitter-receiver distance with arbitrary origin of the ordinate axis in helium. | End of one mean free x path from the transmitter. — Average of the recordings for low pressures (ω/ω_c -independent).

Schottler, 1974

Meyer, Sessler, 1957

Greenspan, 1956

Uchida, 2002

also

$\frac{\omega}{\omega_c} = \frac{v}{v_0}$

$$K_0 = \frac{3}{5} \epsilon_s$$

$$\frac{K_r}{K_0}$$

$$\frac{K_H}{K_0}$$

Theory (solid line)
by Buckner, Ferziger,
see also
Sirovich et al.

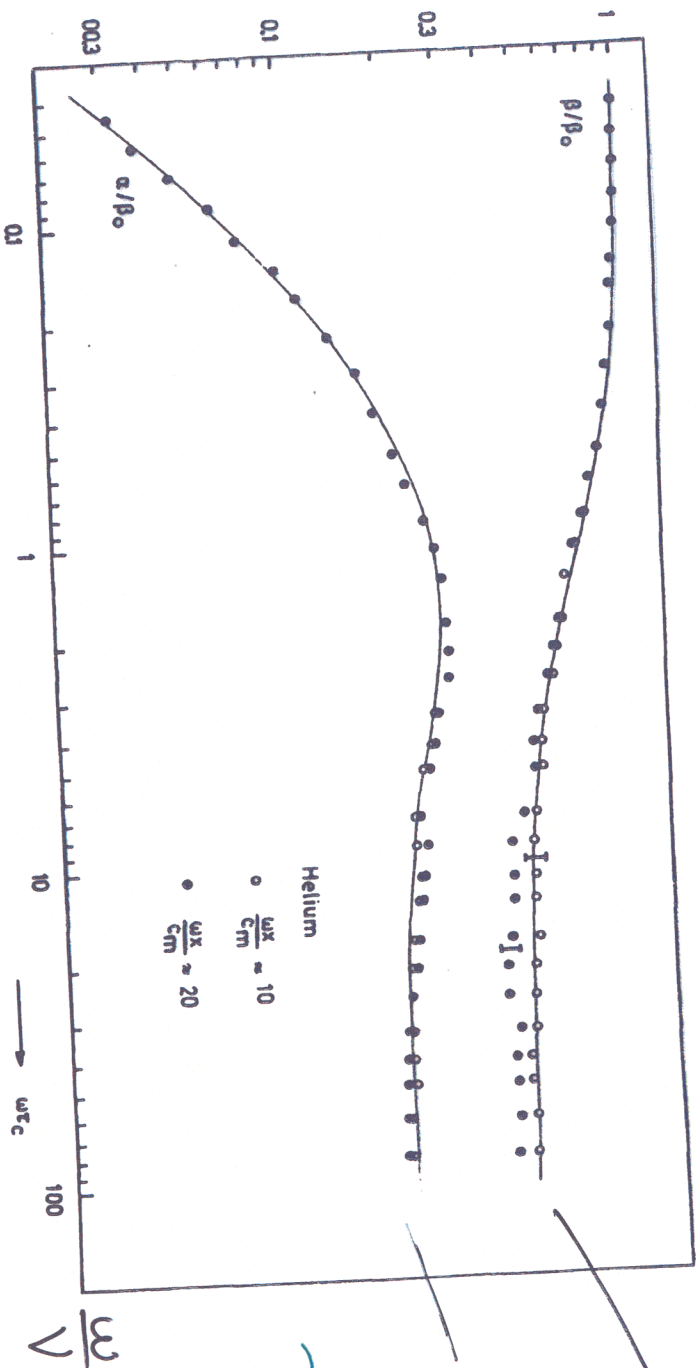
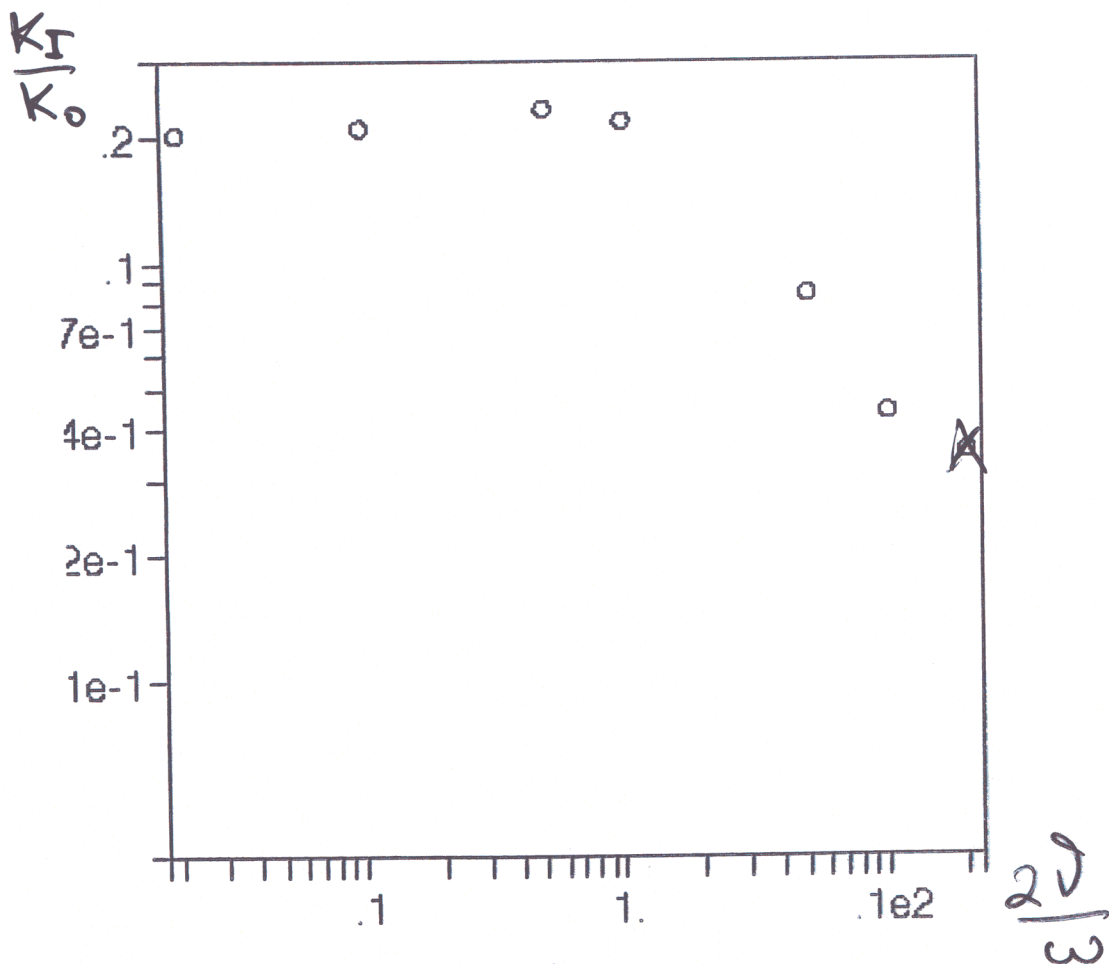


FIG. 4. Propagation characteristics for helium taken at $\omega x/cm = 10$ (○) and 20 (●). — Theory of Buckner and Ferziger. The vertical bars indicate the pressure where the propagation parameters were taken one mean free x path from the receiver.

R. Schotter, Phys. Fluids, 1974

Dissipation at low ω is a result of phase mixing of "quasimodes" (Van-Campen - Case modes) which are Landau damped

$$k_0 = \omega / v_T$$



Damping in neutral gas

$$\frac{\partial}{\partial t} n + \frac{\partial}{\partial x} n v = 0$$

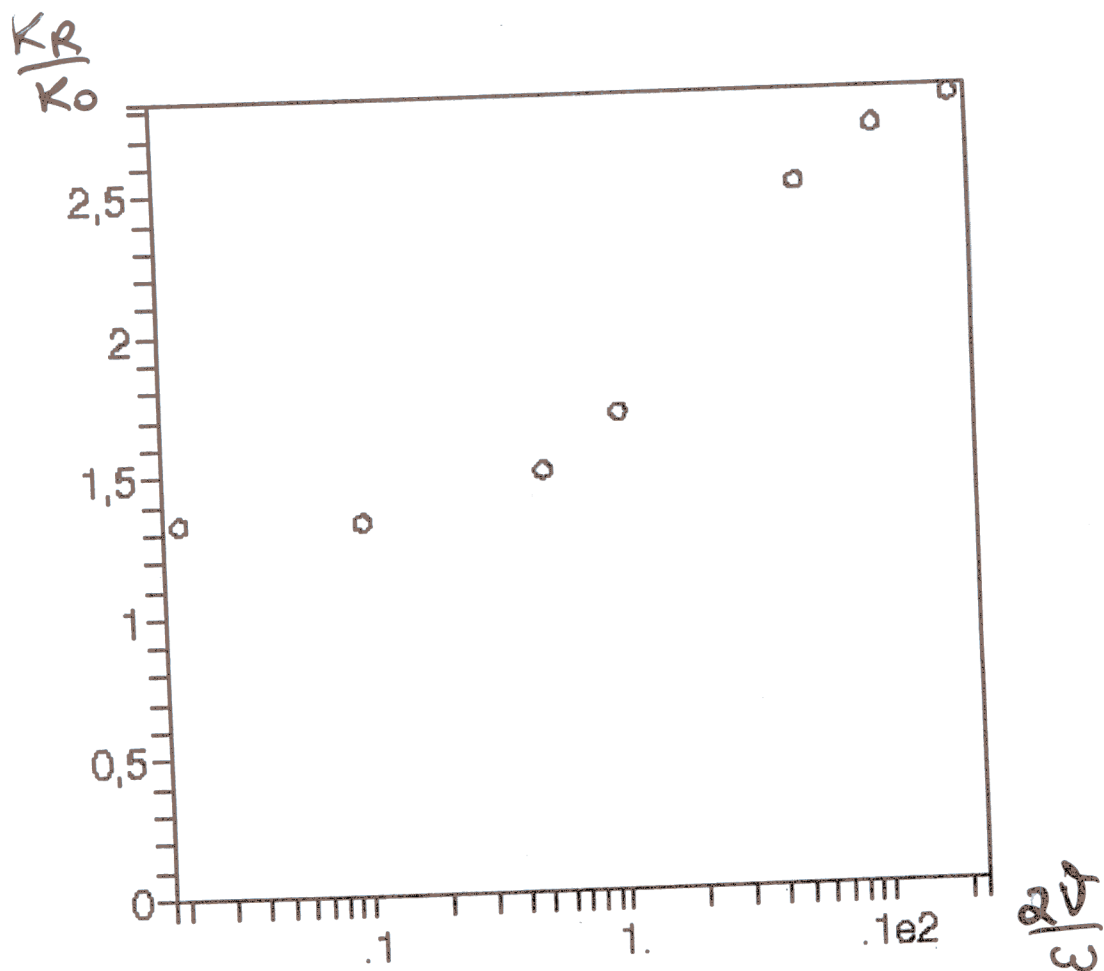
$$m \frac{\partial}{\partial t} v = - \frac{\partial}{\partial x} p - \frac{\partial}{\partial x} \pi$$

$$\frac{\partial}{\partial t} p = - 2p \frac{\partial}{\partial x} v - \frac{\partial}{\partial x} q$$

$$\pi = \hat{\gamma}_1 v + \hat{d}_1 T$$

$$q = + \hat{\chi} T + \hat{d}_2 v$$

Chen, Cohen
type closure



"Higher Order Approximations" from
the Chapman-Enskog like closures

$$\begin{aligned} \pi &= \hat{A}_\pi \tilde{V} + \hat{B}_\pi \tilde{T} \\ q &= \hat{A}_q \tilde{V} + \hat{B}_q \tilde{T} \end{aligned}$$

$\hat{B}_\pi, \hat{A}_q = 0$ in strongly collisional
limit (Navier-Stokes)

expansion in $\frac{\omega}{v}, \frac{k v}{v}$

$$\pi = -p_0 (\nabla u + \nabla u + \partial_t \ln T) + O\left(\frac{\omega^2}{v^2}, \frac{k v^2}{v^2}\right)$$

$$q = -\gamma_0 T \nabla \ln T - \frac{\gamma}{2} p_0 \nabla^{-1} \partial_t u + \dots$$

First Order Terms

E.A. Spiegel et al. 2000, 2003

- ultrasound damping
- shock wave structure
- stellar dynamics

Higher Order
Approximations

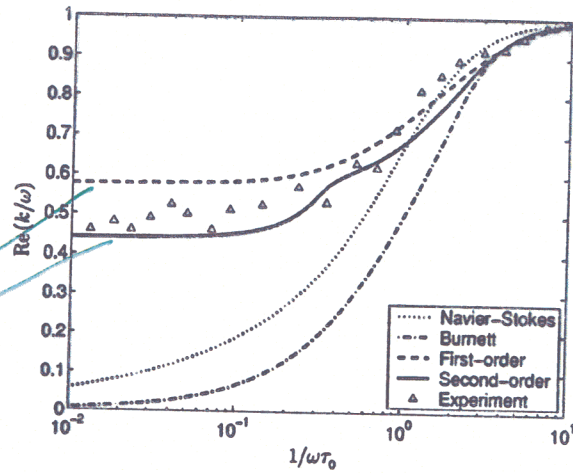


FIG. 1. The real part of the inverse phase speed, k/ω , vs $1/\omega\tau_0$. The phase speed is given in units of the adiabatic sound speed, $(\gamma p_0/\rho_0)^{1/2}$. The first- and second-order dispersion relations of this paper (with $\sigma = 2/3$) are compared to Navier-Stokes, Burnett, and the experiment of Meyer and Sessler (Ref. 25).

Fail to
describe
damping

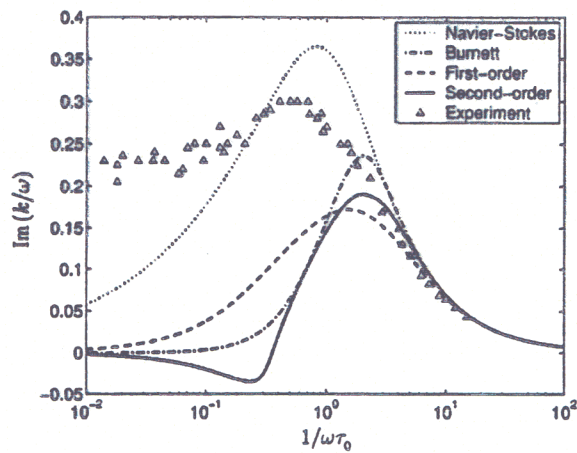


FIG. 2. The same as for Fig. 1, but for the imaginary part of the inverse phase speed.

E.A. Spiegel

J.-L. Thiffeault, Phys. Fluids
2003

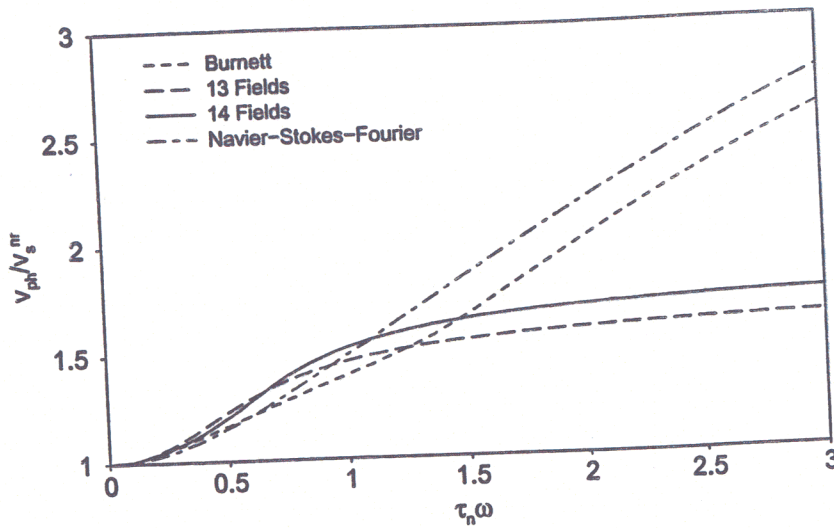


Fig. 1. Phase velocities as functions of the circular frequency in the non-relativistic limiting case

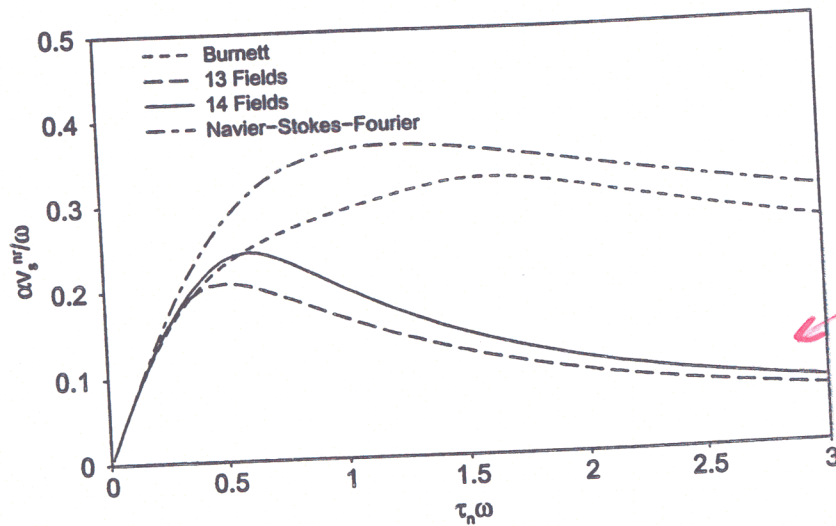


Fig. 3. Attenuation coefficients as functions of the circular frequency in the non-relativistic limiting case

damping

C. Cergighani, 2001
13,14 fields models - Grad type equations

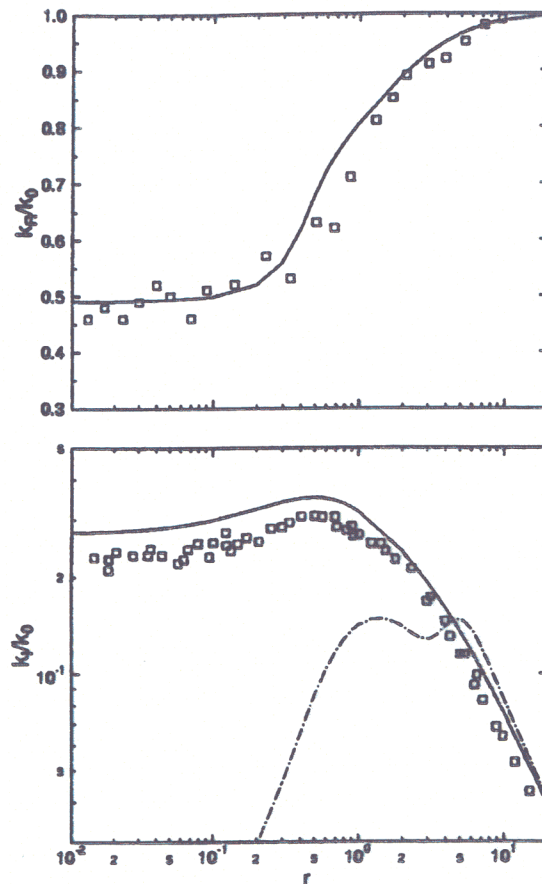


FIG. 2. k_R/k_0 (upper panel) and k_I/k_0 (lower panel) vs r . The theoretical results from Sukhorukov and Stubbe (Ref. 16) are shown by solid curves. The experimental results from Meyer and Sessler (Ref. 17) are shown by white squares. Fluid damping results, following from Eq. (44), are shown by the dashed-dotted curve in the lower panel.

P. Stubbe et al. 1994

$$\tilde{p} = \gamma \tilde{g}$$

Generalized adiabatic constant (complex calculated kinetically)

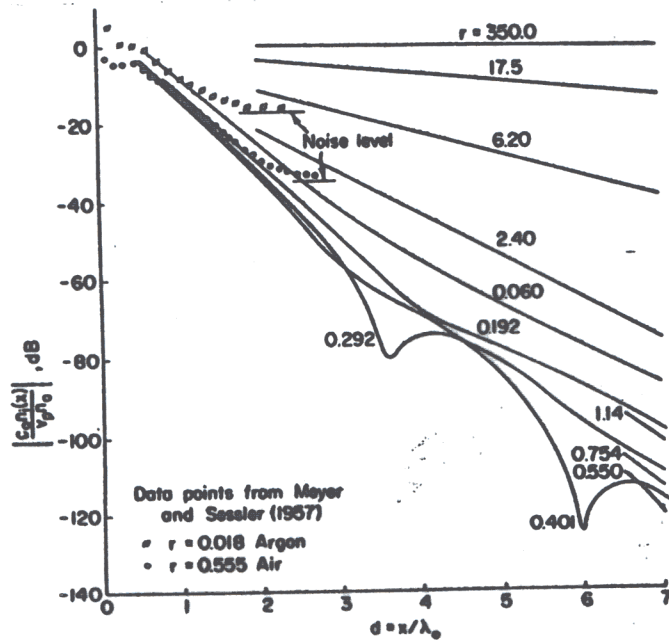


FIG. 11. Approximate total Maxwellian density disturbance.

R. J. Hanson

In Conclusion

- Closure in terms of the source profile functions is similar (identical?) to Chapman-Euskog-like closures
- Chapman-Euskog like closure gives adequate description of sound damping in rarefied neutral gas
- Linear Landau damping
 - Evolution of the continuous spectrum (phase mixing)
 - Exists both in plasmas and neutral gas
- Nonlinear Landau damping (particle orbit modification / particle trapping) requires electric field, e.g. O'Neil problem