

BLACK HOLES,
QUANTUM YANG-MILLS THEORY
AND
NON-PERTURBATIVE
TOPOLOGICAL STRINGS

WORK WITH

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RECENT CONJECTURE

BY OOGURI, STROMINGER AND Vafa
RELATES

- TOPOLOGICAL YANG-MILLS
THEORY
(B-TYPE D-BRANES ON X)
- GROMOV-WITTEN THEORY ON X
(CLOSED TOPOLOGICAL A-MODEL)

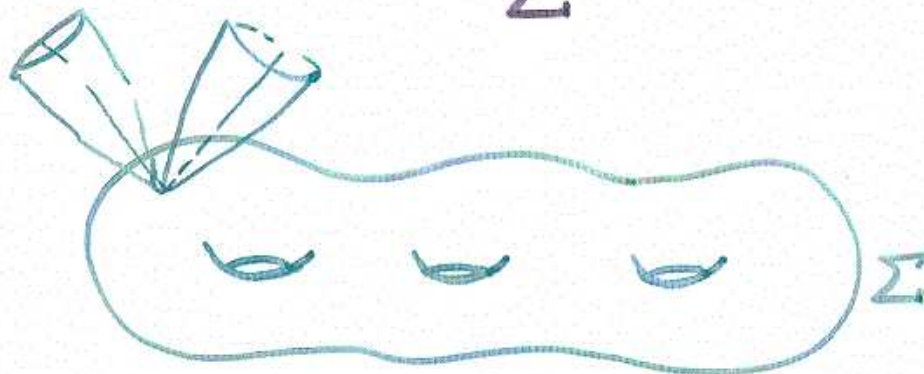
" TOPOLOGICAL YM THEORY

= | GROMOV-WITTEN THEORY |² "

I WILL DISCUSS THIS FOR
A PARTICULAR CLASS OF
CALABI-YAU MANIFOLDS

$$X = L_1 \oplus L_2$$

$\downarrow$
 Σ



X IS CALABI-YAU FOR

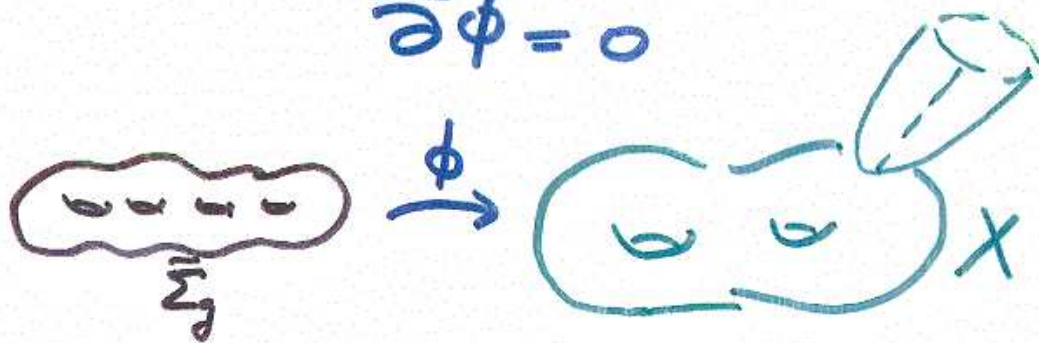
$$\deg(L_1) + \deg(L_2) = -\chi(\Sigma)$$

GROMOV-WITTEN THEORY

ON X IS COUNTING
HOLOMORPHIC MAPS

$$\phi: \widetilde{\Sigma}_g \rightarrow X$$

$$\bar{\partial}\phi = 0$$



$\Rightarrow$ COMPUTES GW INVARIANTS

$$n, d, g \in \mathbb{Q}$$

FOR ϕ DEGREE d MAP.

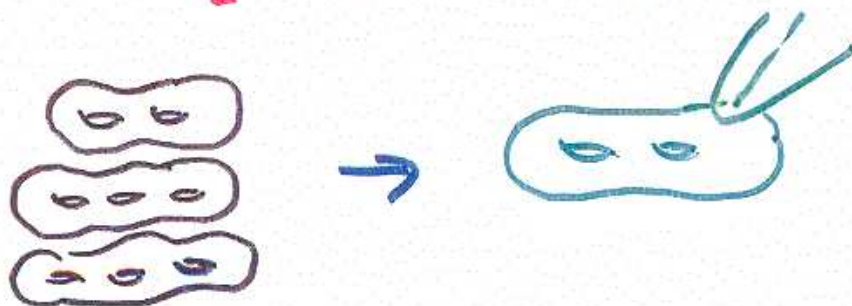
DEFINE GROMOV-WITTEN
PARTITION FUNCTION Z^{GW}

$$Z^{GW}(t, \lambda) = \sum_{d, g} n_{d, g} \lambda^{2g-2} e^{-dt}$$

$\Rightarrow$ GENERATING FUNCTION
OF DISCONNECTED GW
INVARIANTS.

λ - GENUS COUNTING PARAMETER

$$t = \int_{\Sigma} k, \text{ Kähler form } k.$$



TOPOLOGICAL YANG-MILLS THEORY

N D-BRANES WRAPPING A
DIVISOR D IN X , WHERE D
CORRESPONDS TO ZERO SECTION
OF L_1 :

$$D = L_2 \rightarrow \Sigma$$

$\Rightarrow$ TOPOLOGICAL YM THEORY ON D
WITH GAUGE GROUP $U(N)$:

$$Z^{YM} = \int \mathcal{D}A \ e^{-S_{YM}(A, \theta, \lambda) + \dots}$$

$$S_{YM} = \int_D \frac{1}{\lambda} \text{Tr} F \wedge F + \frac{\theta}{\lambda} \text{Tr} F \wedge k_\Sigma$$

k_Σ - GENERATES $H^2(X, \mathbb{Z})$

THE PARTITION FUNCTION OF
THE YM THEORY IS COMPUTING

$$Z^{YM} = \sum_{m,n} \chi(\mathcal{M}_{m,n,N}) e^{-\frac{m}{\lambda} - \frac{\Theta n}{\lambda}}$$

WHERE

$\mathcal{M}_{m,n,N}$ = MODULI SPACE OF $U(N)$
INSTANTONS ON D WITH

$$m = \int_D \text{Tr} F \wedge F, \quad n = \int_D \text{Tr} F \wedge k_\Sigma$$

$\chi(\mathcal{M}_{m,n,N})$ = # OF BOUND STATES
OF N D4 BRANES
ON D WITH n D2 BRANES
ON Σ AND m D0 BRANES

~~Instant~~

WHY IS THIS EXCITING?

GW THEORY IS DEFINED
ONLY AS AN ASYMPTOTIC SERIES
IN λ

$$Z^{GW}(\lambda) = \sum_g Z_g^{GW} \lambda^{2g-2}$$

MAPS  $\rightarrow X$
GENUS g

TOPOLOGICAL YM THEORY

PROVIDES A NON-PERTURBATIVE
COMPLETION FOR GROMOV-WITTEN
THEORY.

LET ME GIVE A MORE
PRECISE STATEMENT
OF THE CONJECTURE
IN THIS CONTEXT...

THE CONJECTURE

STATES THAT

$$Z^{YM}(N, \theta, \lambda) = |Z^{GW}(\lambda, t)|^2$$

WHERE

$$t = \frac{\#}{2} N \lambda + i \theta$$

$$\# = \#(\Sigma \cap D)$$



THIS WOULD BE A PRECISE STATEMENT
IF X WERE COMPACT. IN THE
NON-COMPACT CASE

$$Z^{YM}(N, \theta, \lambda) = \sum_{\alpha} |Z_{\alpha}^{GW}(\lambda, t)|^2$$

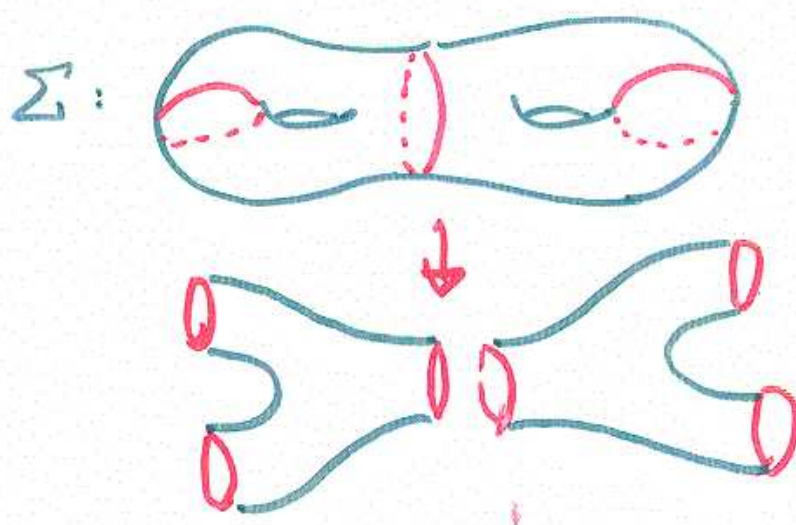
α - "BOUNDARY CONDITIONS AT α_0
OF X "

I'LL NOW EXPLAIN
HOW GW THEORY ON X
AND THE CORRESPONDING
YM THEORY CAN BE SOLVED
EXPLICITLY, PROVIDING A
TEST OF THE CONJECTURE...

GW THEORY OF $X = \frac{L_1 \bullet L_2}{\Sigma}$

BRYAN AND PANDHARIPANDE :

CHOP X INTO PIECES

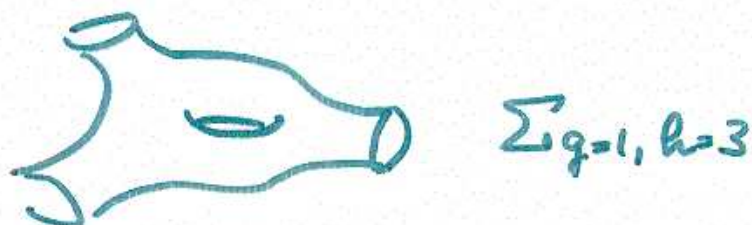


ON WHICH ONE CAN SOLVE
THE THEORY, AND
GET THE REST BY GLUING.

CONSIDER

$$X = L_1 \circ L_2 \downarrow \Sigma_{g,h}$$

$\Sigma_{g,h}$ = RIEMANN SURFACE WITH h PUNCTURES (BOUNDARIES)



AND COUNT HOLOMORPHIC MAPS
OF DEGREE d , WITH PRESCRIBED
RAMIFICATIONS $\vec{k}_i$, $i=1, \dots, h$
(RELATIVE GW THEORY)

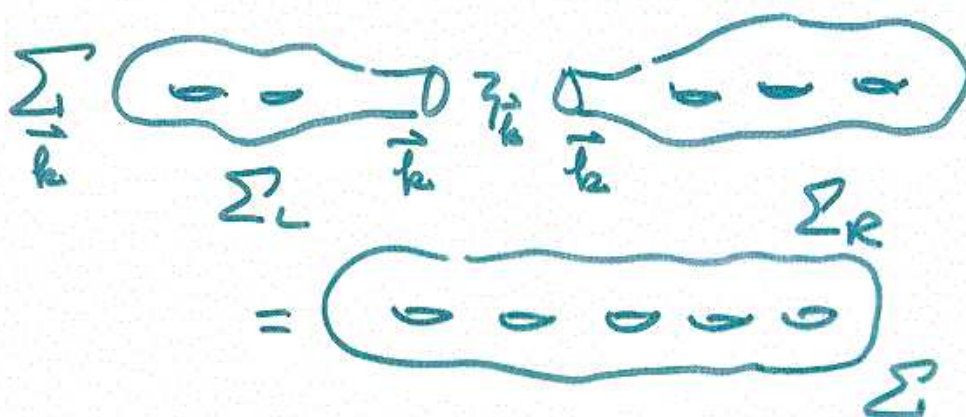
$$\Rightarrow \mathbb{Z}^{GW, d}_{\vec{k}^{(1)}, \dots, \vec{k}^{(h)}}(\Sigma_{g,h})$$

$$\vec{k} = (1^{k_1}, 2^{k_2}, \dots) \quad 1^d$$

$$d = \sum_j (k_j) j$$

THESE SATISFY GLUING RELATIONS

$$Z^d(\Sigma_L \cup \Sigma_R) = \sum_{\vec{k}} Z_{\vec{k}}^d(\Sigma_L) z_{\vec{k}} Z_{\vec{k}}^d(\Sigma_R)$$



REALLY, ONE IS GLUING NOT ONLY Σ_L, R BUT ALSO ^{THE} BUNDLE OVER IT

$$X_L \cup X_R = X$$

$$\deg L_i(X_L) + \deg L_i(X_R) = \deg L_i(X)$$

$i=1,2$

IN FACT, BP SHOW THAT

GROMOV-WITTEN THEORY

ON $X \rightarrow \Sigma$ IS A TOPOLOGICAL

QUANTUM FIELD THEORY ON

Σ , SO ALL THAT ONE

NEEDS TO COMPUTE ARE

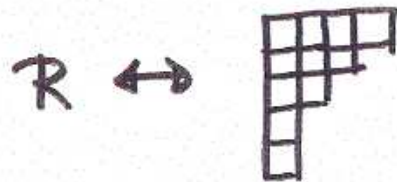
GW PARTITION FUNCTIONS OF



IN WRITING $Z_{\vec{k}}(\Sigma)$

→ PARTICULAR CHOICE OF
BASIS $\{\vec{k}\}$ OF HILBERT-SPACE OF
TQFT.

THERE IS A MORE "NATURAL"
BASIS W/ STATES LABELED
BY



YOUNG TABLEAUX

$$\underline{\underline{Z_R^d}} = \sum_{\vec{k}} \underline{\underline{\chi_R(\vec{k})}} \underline{\underline{Z_{\vec{k}}^d}}$$

↑
CHARACTERS OF S_d
SYMMETRIC GROUP

GLUING RULE IS

$$\underline{\underline{Z(\Sigma_L \cup \Sigma_R)}} = \sum_R \underline{\underline{Z_R(\Sigma_L) Z_R(\Sigma_R)}} e^{-\frac{d(R)}{d}}$$

IN THIS BASIS:

$$Z(\text{disk}_R) = d_g(R) q^{\#C(R)/2}$$

$$Z(\text{pair of pants}_R) = \frac{1}{d_g(R)} q^{\#C(R)/2}$$

$$Z(\text{cylinder}_R) = q^{\#C(R)/2}$$

WHERE $\# = \deg(L_1) - \deg(L_2)$

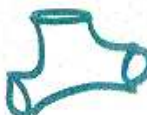
$$q = e^{-1}$$

$$d_g(R) = \prod_{\square \in R} \frac{1}{[h(\square)]_q}$$

$$[n]_q = q^{-n/2} - q^{n/2}$$

↑
"quantum dimension
of symmetric group
representation"

FROM THIS, BY GLUING

$$(2g-2) \text{  } = (g-1) \text{  }$$

GET

$$Z(\text{) = \sum_R d_g(R)^{2-2g} q^{\#C(R)/2} \times e^{-|R|t}$$

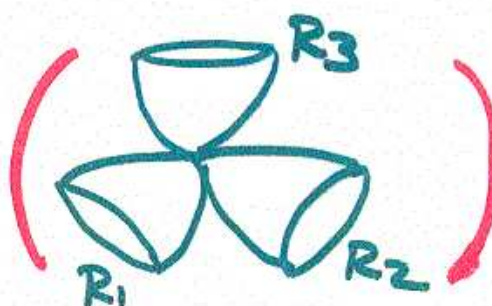
g handles

WHERE

$$|R| = \# \text{ OF BOXES IN } R \\ = \text{DEGREE OF A MAP}$$

$$t = \int_{\Sigma} R$$

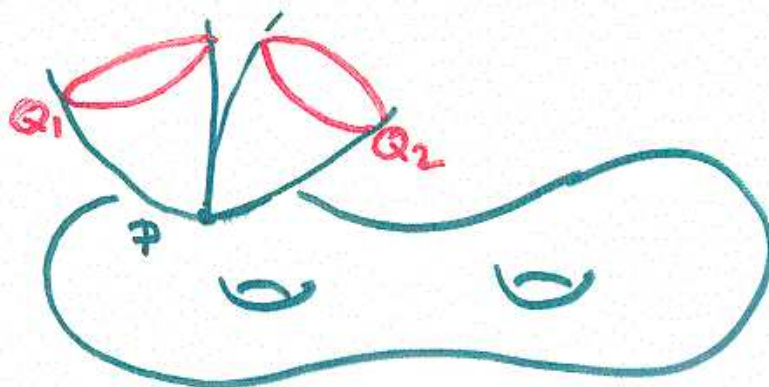
THIS IS VERY ANALOGOUS
TO TOPOLOGICAL VERTEX
WHERE HOLOMORPHIC MAPS
TO $\mathbb{C}^3$ LOCALIZE TO
VERTEX OF THE FORM

$$\mathbb{Z} \left(\begin{array}{c} R_3 \\ \text{cup} \\ R_1 \quad R_2 \end{array} \right) = C_{R_1 R_2 R_3}$$


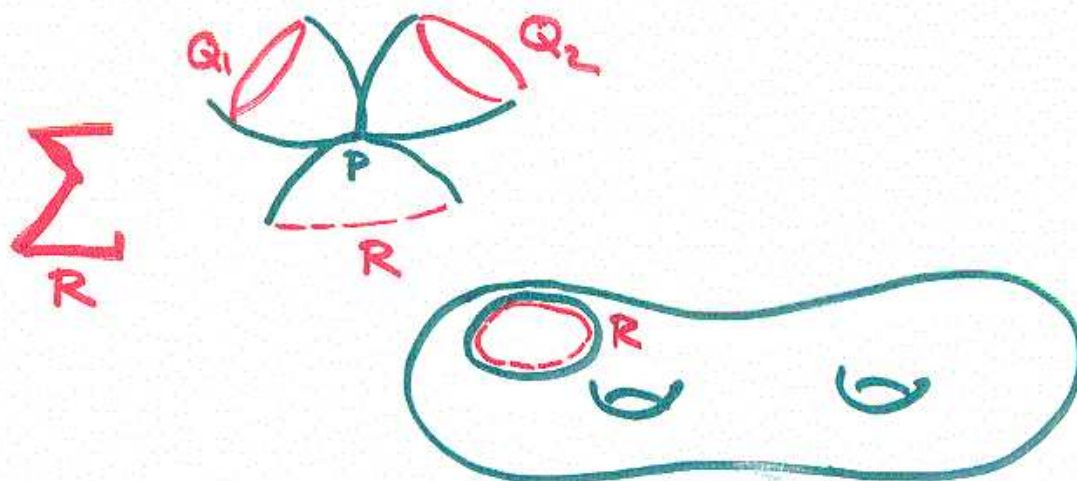
AND BY GLUING COMPUTES
GW INVARIANTS OF TORIC CY.

ACTUALLY, WE CAN COMBINE
THE TWO THEORIES...

CORRESPONDING TO HAVING
D-BRANES OVER A
POINT P ON Σ



$\Rightarrow$ BY GLUING



TOPOLOGICAL YM THEORY

RECALL: THEORY OF N D-BRANES
WRAPPING A DIVISOR D

$$Z_{YM}(N, \lambda, \theta) = \int \mathcal{D}A \ e^{-S_{YM}(\lambda, \lambda, \theta)}$$

$$S_{YM} = \frac{1}{\lambda} \int_D \text{Tr} F \wedge F + \frac{\theta}{\lambda} \int_D \text{Tr} F \wedge k_\Sigma$$

$$D = L_2 \downarrow \Sigma$$

THE TASK IS TO COMPUTE
 $Z_{YM} \dots$

$\Rightarrow$ ON A GENERAL 4-MANIFOLD D
THIS IS DIFFICULT.

HOWEVER, $D = L_2 \overline{\downarrow} \Sigma$ HAS A

$\mathbb{C}^*$ SYMMETRY:



$\Rightarrow$ LOCALIZES TO INVARIANT
MODES

TYM THEORY ON D IS

EFFECTIVELY A 2-DIMENSIONAL

THEORY ON Σ !

ROUGHLY, BY LOCALIZATION WE
CAN INTEGRATE OVER THE FIBERS

$$\int_{\substack{\text{FIBER} \\ \text{AT } P}} F = \oint_{S_P} A = \Phi(P)$$

"QUANTUM"
YM THEORY $Z^{\text{YM}} = \int \mathcal{D}A \mathcal{D}\phi e^{-S(\Phi, A, \theta, \lambda)}$

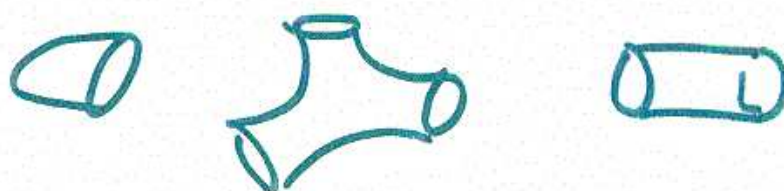
$$S = \frac{1}{\lambda} \int_{\Sigma} \text{Tr} \Phi F + \theta \text{Tr} \Phi + \text{deg}(L_2) \text{Tr} \frac{\phi^2}{2}$$

THIS WOULD BE AN "ORDINARY"
YM THEORY ON Σ , EXCEPT $e^{i\int \Phi}$
THAT Φ IS A HOLONOMY
FIELD, SO MEASURE IS "EXOTIC".

→ THE THEORY IS THE SAME
AS CHERN-SIMONS ON S'
BUNDLE OF DEGREE $\text{deg}(L_2)$
OVER Σ .

THE QUANTUM YM THEORY
IS SOLVABLE

E.G. BY CUTTING
AND GLUING FROM
BASIC PIECES



QYM PARTITION FN. $Z(\Sigma, U)$

ON A MANIFOLD WITH BOUNDARY



DEPENDS ON HOLONOMY

$$U = P e^{i \oint A}$$

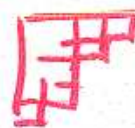
ON THE BOUNDARY.

$\Rightarrow$ HILBERT SPACE $\mathcal{H}_S$ IS

SPANNED BY CHARACTERS

$$\text{Tr}_R U$$

R - IRRED. REP
OF $U(N)$



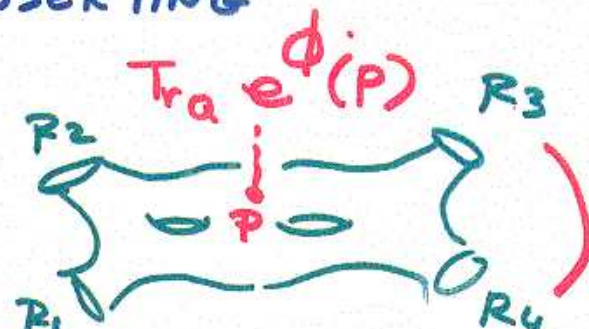
$$\underline{Z(\Sigma, U) = \sum_R Z(\Sigma)_R \text{Tr}_R U}$$

$$\int dU \quad Z(\Sigma_L, U) Z(\Sigma_R, U^{-1}) = \sum_R Z(\Sigma_L)_R Z(\Sigma_R)_R$$

A CONSEQUENCE OF TOPOLOGICAL INVARIANCE IS THAT

$$\mathcal{Z} \left(\begin{array}{c} R_2 \quad R_3 \\ R_1 \quad R_4 \end{array} \right) = 0, \text{ UNLESS } R_1 = R_2 = R_3 = R_4$$


E.G. INSERTING

$$\mathcal{Z} \left(\begin{array}{c} R_2 \quad R_3 \\ R_1 \quad R_4 \end{array} \right)$$


$\Rightarrow$ INDEPENDENT OF p ,
 AT i -th PUNCTURE
 COMPUTES CASIMIRS OF
 CORRESPONDING REPRESENTATION R_i .

THIS IMPLIES THAT THE
THEORY IS COMPLETELY
FIXED JUST BY KNOWING
THE CAP AMPLITUDE.

IF

$$\mathcal{Z}(\text{circle}_R) = C_R$$

$$\mathcal{Z}(\text{pair of pants}_R) = P_R$$

THAN

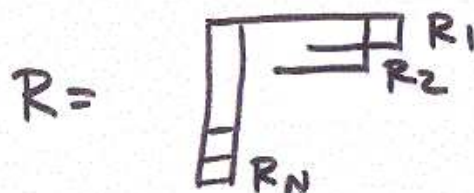
$$C_R = \mathcal{Z}(\text{pair of pants with two circles}_R)$$

$$= C_R^2 P_R$$

$$\Rightarrow P_R \propto 1/C_R$$

THE THEORY ON A DISK
IS EASY TO SOLVE BY
EXPLICIT EVALUATION OF THE
PATH INTEGRAL

$$Z(\text{Disk}_R) = \sum_{R \in U(N)} \dim_q(R) q^{\frac{\#C_2(R)}{2}} e^{i\theta |R|}$$



$$q = e^{-1}$$

$$\begin{aligned} \underline{\dim_q(R)} &= \text{"quantum dimension of } U(N) \text{ representation"} \\ &= \prod_{1 \leq i < j \leq N} \frac{[R_i - R_j + j - i]_q}{[j - i]_q} \end{aligned}$$

THIS ALLOWS US TO COMPUTE EG

$$Z(\text{torus}) = \frac{1}{\dim_{\mathbb{R}}(\mathbb{R})} \# \left(\frac{\mathbb{R}}{2\mathbb{Z}} \right) e^{i\theta | \mathbb{R} |}$$

$$Z(\text{square}) = \# \left(\frac{\mathbb{R}}{2\mathbb{Z}} \right) e^{i\theta | \mathbb{R} |}$$

$$Z(\text{g-handle}) = \sum_{\mathbb{R}} (\dim_{\mathbb{R}}(\mathbb{R}))^{2-2g} \# \left(\frac{\mathbb{R}}{2\mathbb{Z}} \right) e^{i\theta | \mathbb{R} |}$$

$\#$ = Chern Class of S^1 BUNDLE OVER Σ = $\deg(L_2)$

$$g = e^{-1}$$

NOTE HERE

$$Z^{YM} = Z^{YM}(g)$$

$$g = e^{-1}$$

RELATED TO $N=4$ YM **S-DUALITY**

→ Z^{YM} IS A MODULAR

FORM:

ALSO HAS EXPANSION

$$Z^{YM} = Z^{YM}(\tilde{g}) \quad \tilde{g} = e^{-1/\lambda}$$

$$= \sum_{m,n} \chi_{m,n,N} e^{-\frac{m}{\lambda} - \frac{n}{\lambda}}$$

RELATION OF GW/gYM THEORIES

RECALL THAT THE
CONJECTURE PREDICTS
A RELATION BETWEEN THE
2 THEORIES

$$Z^{YM}(N, \theta, \lambda) = \sum_{\lambda} |Z_{\lambda}^{GW}(\lambda, t)|^2$$

FOR

$$t = \frac{\#}{2} N \lambda + i \theta$$

$$\# = \#(\Sigma \cap D)$$

$$= \deg(L_1)$$

WHERE $D = L_2 \downarrow_{\Sigma}$ IN X

IT IS APPARENT THAT Z^{YM} AND Z^{GW} ARE RELATED AT LARGE N

$$Z^{YM}(\text{torus}, \lambda, N, \theta) = \sum_{R \in U(N)} (\underline{\dim_g(R)})^{2-2g} q^{\frac{\#C_2(R)}{2}} e^{-i\theta|R|}$$

AND

$$Z^{GW}(\text{torus}, \lambda, t) = \sum_{R \in U(\infty)} (\underline{d_g(R)})^{2-2g} q^{\frac{\#C_2(R)}{2}} e^{-t|R|}$$

$$\dim_g(R) \underset{N \rightarrow \infty}{\sim} d_g(R) q^{-\frac{C_2(R)}{2}} e^{N\lambda|R|}$$

SINCE GW AMPLITUDES

ARE EXPANSIONS IN $e^{-t}, 1$

WE SHOULD CONSIDER

Z^{SYM}

AT LARGE $N \propto \text{Re } t / \lambda$.

IN PARTICULAR,

$$\underline{Z^{\text{SYM}}(N, \theta, \lambda)} = \sum_{\alpha} \underline{|\underline{Z^{\text{GW}}(t, \lambda)}|^2}$$

UP TO TERMS

$$\underline{\mathcal{O}(e^{-N}) = \mathcal{O}(e^{-t/\lambda}, e^{-\bar{t}/\lambda})}$$

THIS WOULD SUGGEST

$$Z^{\text{YM}}(\theta, N, \lambda) = Z^{\text{GW}}(t, \lambda)$$

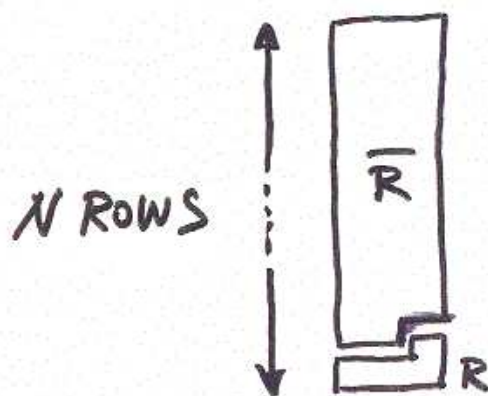
... HOWEVER, THIS IS NOT RIGHT.

FOR EVERY REPRESENTATION



WITH SMALL # OF BOXES,

THERE IS A CONJUGATE REPRESENTATION



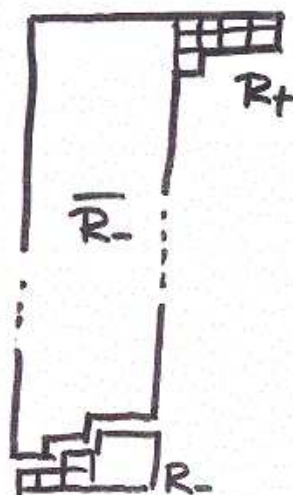
WITH ORDER N BOXES

$\Rightarrow$ WE THREW AWAY "HALF"
OF THE THEORY!

$\Rightarrow$ THE HILBERT SPACE
OF THE THEORY SPLITS
AT LARGE N

$$\mathcal{H}_{U(N)} \rightarrow \mathcal{H}^+ \bullet \mathcal{H}^-$$

A GENERIC STATE IN $\mathcal{H}^+ \bullet \mathcal{H}^-$
CORRESPONDING TO

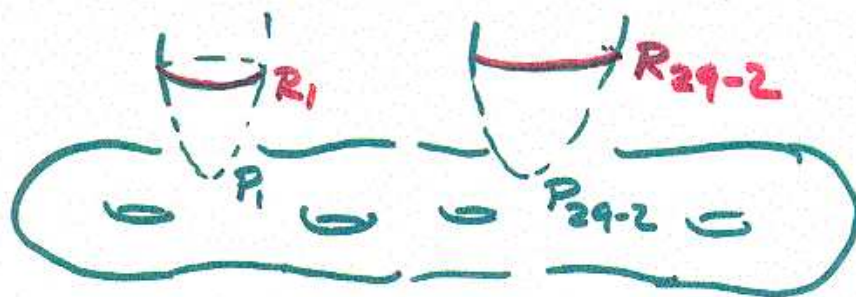


$$R \rightarrow R_+ \bar{R}_-$$

IT IS STRAIGHT-FORWARD TO
SHOW

$$Z^{YM}(\Sigma_g; N, \theta, \lambda) = \sum_{R_1, \dots, R_{2g-2}} |Z_{R_1, \dots, R_{2g-2}}^{GW}(t, \lambda)|^2$$

WHERE $Z_{R_1, \dots, R_{2g-2}}^{GW}$ COMPUTES



ON $X = L_1 \circ L_2$
 $\downarrow$
 Σ

WITH $t = \frac{\deg(L_1)}{2} N \lambda + i \theta$

WHAT ABOUT

BLACK HOLES?

$D4 + D2 + D0$

BOUND STATES WHICH

YM THEORY IS COUNTING

ARE BLACK HOLES.

WHY THIS HAS
ANYTHING TO DO WITH
TOPOLOGICAL STRINGS
- THE INTUITION
BEHIND THE CONJECTURE -
WILL BE EXPLAINED
TOMORROW.

$$N D4 + n D2 + m D0$$

A BLACK HOLE IS
SPECIFIED BY ~~CHARGES~~
CHARGES
(N, n, m)

OF BOUND STATES WITH
THESE CHARGES IS THE
OF WAYS OF "MAKING"
THE BLACK HOLE

$\Leftrightarrow$ # OF BLACK HOLE
"MICROSTATES"