

# Convex Sets Associated to $C^*$ -Algebras

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ECOAS 2014

# Classical Situation (1970's): $\text{Ext}(\mathfrak{A})$

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Let  $\mathfrak{A}$  be a separable unital  $C^*$ -algebra.  $\text{Ext}(\mathfrak{A})$  is given by the set of unital  $*$ -monomorphisms  $\pi : \mathfrak{A} \rightarrow B(H)/K(H)$  modulo  $B(H)$ -unitary equivalence.

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Use a unitarily implemented isomorphism between  $B(H)$  and  $M_2(B(H))$  to define a semigroup structure on  $\text{Ext}(\mathfrak{A})$ .

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Use a unitarily implemented isomorphism between  $B(H)$  and  $M_2(B(H))$  to define a semigroup structure on  $\text{Ext}(\mathfrak{A})$ .

Here is the picture:

$$[\pi] + [\rho] = \left[ \begin{pmatrix} \pi & 0 \\ 0 & \rho \end{pmatrix} \right]$$

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In 2011 Brown introduced the following convex set.

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In 2011 Brown introduced the following convex set.

For  $N$  a separable  $\text{II}_1$ -factor,  $R$  the hyperfinite  $\text{II}_1$ -factor, and  $\mathcal{U}$  a free ultrafilter on  $\mathbb{N}$  define  $\mathbb{H}\text{om}(N, R^{\mathcal{U}})$  to be the set of unital  $*$ -homomorphisms  $\pi : N \rightarrow R^{\mathcal{U}}$  modulo unitary equivalence.

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We use isomorphisms between  $R^{\mathcal{U}}$  and  $pR^{\mathcal{U}}p$  for  $p$  a projection in  $R^{\mathcal{U}}$  to define convex combinations.

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Here is a(n incorrect) picture:

$$t[\pi] + (1 - t)[\rho] = \left[ \left( \begin{array}{c|c} p\pi p & 0 \\ \hline 0 & p^\perp \rho p^\perp \end{array} \right) \right]$$

where  $p$  is a projection in  $R^{\mathcal{U}}$  and  $\tau_R(p) = t$ .

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With this definition, we may consider  $\mathbb{H}\text{om}(N, R^{\mathcal{U}})$  as a closed, bounded, convex subset of a Banach space.

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With this definition, we may consider  $\mathbb{H}\text{om}(N, R^{\mathcal{U}})$  as a closed, bounded, convex subset of a Banach space.

Brown was able to characterize extreme points:

**Theorem (Brown, 2011)**

*$[\pi] \in \mathbb{H}\text{om}(N, R^{\mathcal{U}})$  is extreme if and only if  $\pi(N)' \cap R^{\mathcal{U}}$  is a factor.*

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## Definition

For a separable, unital, tracial  $C^*$ -algebra  $\mathfrak{A}$ , and a separable McDuff  $II_1$ -factor  $M$  ( $M \cong M \otimes R$ ), we define  $\mathbb{H}\text{om}(\mathfrak{A}, M)$  to be the space of unital  $*$ -homomorphisms  $\pi : \mathfrak{A} \rightarrow M$  modulo the equivalence relation of weak approximate unitary equivalence (w.a.u.e.).

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That is,  $[\pi] = [\rho]$  if there is a sequence  $\{u_n\}$  of unitaries in  $M$  such that for every  $a \in \mathfrak{A}$  we have

$$\lim_n \|\pi(a) - u_n \rho(a) u_n^*\|_2 = 0.$$

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We endow  $\mathbb{H}\text{om}(\mathfrak{A}, M)$  with the topology of pointwise convergence (with appropriate consideration for equivalence classes).

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Taking advantage of the properties of a McDuff factor ( $M \cong M \otimes R$ ), we can define convex combinations in  $\mathbb{H}\text{om}(\mathfrak{A}, M)$ .



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## Definition

For a McDuff factor  $M$ , an isomorphism  $\sigma_M : M \otimes R \rightarrow M$  is a regular isomorphism if  $\sigma_M \circ (\text{id}_M \otimes 1_R) \sim \text{id}_M$ .

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For  $t \in [0, 1]$ ,  $[\pi], [\rho] \in \mathbb{H}\text{om}(\mathfrak{A}, M)$ , we define

$$t[\pi] + (1 - t)[\rho] := [\sigma_M(\pi \otimes p + \rho \otimes p^\perp)]$$

where  $\sigma_M : M \otimes R \rightarrow M$  is a regular isomorphism and  $p$  is a projection in  $R$  with  $\tau_R(p) = t$ .

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where  $\sigma_M : M \otimes R \rightarrow M$  is a regular isomorphism and  $p$  is a projection in  $R$  with  $\tau_R(p) = t$ .

(Correct) Picture:

$$\left( \begin{array}{c|c} (1_M \otimes p)(\pi \otimes 1_R)(1_M \otimes p) & 0 \\ \hline 0 & (1_M \otimes p^\perp)(\rho \otimes 1_R)(1_M \otimes p^\perp) \end{array} \right)$$

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We may also consider  $\mathbb{H}\text{om}(\mathfrak{A}, M)$  as a closed, *separable*, bounded, convex subset of a Banach space.

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We would like to find a nice characterization of extreme points.

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The characterization in the ultrapower situation cannot apply here because relative commutants are not well-defined under weak approximate unitary equivalence.

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## Proposition (A.)

*Given  $\pi : \mathfrak{A} \rightarrow M$ , we have that  $\mathbb{H}om(\mathfrak{A}/\ker\pi, M)$  is a face of  $\mathbb{H}om(\mathfrak{A}, M)$ .*

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Given  $[\pi] \in \mathbb{H}\text{om}(\mathfrak{A}, M)$  we get a (unital) trace on  $\mathfrak{A}$  given by

$$\tau_M \circ \pi.$$

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The correspondence  $[\pi] \mapsto \tau_M \circ \pi$  is well-defined, continuous, and affine.

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The correspondence  $[\pi] \mapsto \tau_M \circ \pi$  is well-defined, continuous, and affine.

Natural question: For a fixed  $M$ , does this give all of the (unital) traces on  $\mathfrak{A}$ ?

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## Theorem (A.)

*If  $\mathfrak{A}$  is nuclear then for any McDuff  $M$  we have*  
 $\text{Hom}(\mathfrak{A}, M) \cong T(\mathfrak{A})$  *given by*  $[\pi] \leftrightarrow \tau_M \circ \pi$ .

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## Theorem (A.)

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*English Version: All traces of a separable unital nuclear algebra “lift” through any fixed McDuff factor; and the traces “remember” their homomorphisms up to w.a.u.e.*

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*English Version: All traces of a separable unital nuclear algebra “lift” through any fixed McDuff factor; and the traces “remember” their homomorphisms up to w.a.u.e.*

(Recall:  $\mathfrak{A}$  nuclear  $\Rightarrow \text{Ext}(\mathfrak{A})$  is a group. But the class of algebras  $\mathfrak{A}$  for which  $\text{Ext}(\mathfrak{A})$  is a group is strictly larger than the nuclears. In 1977 Anderson showed that  $\text{Ext}(\mathfrak{A})$  is not always a group.)

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Similar to the program of  $\text{Ext}(\mathfrak{A})$  we would like to find examples of the following.

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Similar to the program of  $\text{Ext}(\mathfrak{A})$  we would like to find examples of the following.

- 1 Well-Behaved Non-Nuclear: A non-nuclear  $\mathfrak{A}$  where for any McDuff  $M$ , all traces lift through  $M$  and the traces remember their homomorphisms.



# Examples

Similar to the program of  $\text{Ext}(\mathfrak{A})$  we would like to find examples of the following.

- 1 Well-Behaved Non-Nuclear: A non-nuclear  $\mathfrak{A}$  where for any McDuff  $M$ , all traces lift through  $M$  and the traces remember their homomorphisms.
- 2 Too Many Traces: A necessarily non-nuclear algebra  $\mathfrak{B}$  where for some McDuff  $M$ , there is a trace on  $\mathfrak{B}$  that does not lift through  $M$ .

# Examples

Similar to the program of  $\text{Ext}(\mathfrak{A})$  we would like to find examples of the following.

- 1** Well-Behaved Non-Nuclear: A non-nuclear  $\mathfrak{A}$  where for any McDuff  $M$ , all traces lift through  $M$  and the traces remember their homomorphisms.
- 2** Too Many Traces: A necessarily non-nuclear algebra  $\mathfrak{B}$  where for some McDuff  $M$ , there is a trace on  $\mathfrak{B}$  that does not lift through  $M$ .
- 3** Forgetful Trace: A necessarily non-nuclear algebra  $\mathfrak{C}$  where for some McDuff  $M$ , there is a trace on  $\mathfrak{C}$  lifting through  $M$  via two inequivalent homomorphisms.

# (1) Well-Behaved Non-Nuclear

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Dadarlat found a tracial non-nuclear  $\mathfrak{A}$  contained in an AF-algebra.

# (1) Well-Behaved Non-Nuclear

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Dadarlat found a tracial non-nuclear  $\mathfrak{A}$  contained in an AF-algebra.

It follows that any trace on  $\mathfrak{A}$  lifts through  $R$ ; hence any trace lifts through any McDuff.

# (1) Well-Behaved Non-Nuclear

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It follows that any trace on  $\mathfrak{A}$  lifts through  $R$ ; hence any trace lifts through any McDuff.

Also, it can be shown that the traces remember their homomorphisms (in any McDuff).

## (2) Too Many Traces

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Brown found an algebra  $\mathfrak{B}$  such that for any McDuff  $M$ , there is a trace  $T_M \in T(\mathfrak{B})$  so that the von Neumann closure of the GNS representation  $\pi_{T_M}$  is isomorphic to  $M$ . That is,

$$\pi_{T_M}(\mathfrak{B})'' \cong M.$$

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A result by Ozawa demonstrates that there is no separable universal McDuff factor. So there cannot be an  $M$  such that every trace of  $\mathfrak{B}$  lifts through  $M$ .

### (3) Forgetful Trace

Finding an example of an algebra  $\mathfrak{C}$  with a forgetful trace would give legitimacy to the expectation that the convex sets  $\mathbb{H}\text{om}(\mathfrak{C}, M)$  form an invariant richer than the trace space.

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A result of Hadwin's using the concept of dimension ratio (related to free entropy) gives a  $\text{II}_1$  factor  $N$  and two inequivalent homomorphisms  $\pi, \rho : C_r^*(\mathbb{F}_2) \rightarrow N$  such that  $\tau_N \circ \pi = \tau_N \circ \rho$ .

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A result of Hadwin's using the concept of dimension ratio (related to free entropy) gives a  $\text{II}_1$  factor  $N$  and two inequivalent homomorphisms  $\pi, \rho : C_r^*(\mathbb{F}_2) \rightarrow N$  such that  $\tau_N \circ \pi = \tau_N \circ \rho$ .

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Question: Is the inequivalence of  $\pi$  and  $\rho$  preserved when we pass to  $\pi \otimes 1_R, \rho \otimes 1_R : C_r^*(\mathbb{F}_2) \rightarrow N \otimes R$ ?